



# Lee County Board of County Commissioners DIVISION OF PROCUREMENT MANAGEMENT

# Consultant Competitive Negotiation Act (CN) Request for Proposal

Solicitation No.: CN200315JJB

Fort Myers Beach Water Reclamation Facility Master

Solicitation

Plan

Name: Open

Date/Time: Monday, August 03, 2020 Time: 2:30 PM

Location: Lee County Procurement Management

2115 Second Street, 1<sup>st</sup> Floor

Fort Myers, FL 33901

Procurement

Contact: Jake Bond Title Procurement Analyst

Phone: (239) 533-8898 Email: **Jbond @leegov.com** 

Requesting

Dept. Utilities

Pre-Solicitation Meeting:

Type: NON-Mandatory Date/Time: 7/7/2020 10:00 AM

Location: 17155 Pine Ridge Road, Fort Myers Beach, FL 33931

All solicitation documents are available for download at <a href="https://www.leegov.com/procurement">www.leegov.com/procurement</a>



**Notice to Contractor / Vendor / Proposer(s)** 

# REQUEST FOR PROPOSAL Consultant Competitive Negotiation Act (CN)

Lee County, Florida, is requesting proposals from qualified individuals/firms for

#### CN200315JJB, Fort Myers Beach Water Reclamation Facility Master Plan

Then and there to be publicly opened and read aloud for the purpose of selecting a vendor to furnish; all necessary labor, services, materials, equipment, tools, consumables, transportation, skills and incidentals required for Lee County, Florida, in conformance with proposal documents, which include technical specifications and/or a scope of work.

Those individuals/firms interested in being considered are instructed to submit, in accordance with specifications, their proposals, pertinent to this project prior to

#### 2:30 PM Monday, August 03, 2020

to the office of the **Procurement Management Director**, **2115 Second Street**, **1**<sup>st</sup> **Floor**, **Fort Myers**, **Florida 33901**. The Request for Proposal shall be received in a sealed envelope, prior to the time scheduled to receive proposals, and shall be clearly marked with the solicitation name, solicitation number, Proposer name, and contact information as identified in these solicitation documents.

The solicitation documents are available from <a href="www.leegov.com/procurement">www.leegov.com/procurement</a>. Documents obtained from sources other than <a href="www.leegov.com/procurement">www.leegov.com/procurement</a> are cautioned that the solicitation package may be incomplete. The County's official Proposer list, addendum(s) and information must be obtained from <a href="www.leegov.com/procurement">www.leegov.com/procurement</a>. It is the Proposer's responsibility to check for posted information. The County may not accept incomplete proposals.

#### A Non-Mandatory Pre-proposal Conference has been scheduled for the following time and location:

10:00 AM Tuesday, July 07, 2020 17155 Pine Ridge Road, Fort Myers Beach, FL 33931

for the purpose of discussing the proposed project. Prospective Proposers are encouraged to attend. All prospective Proposers are encouraged to obtain and review plans, specifications, and scope of work for this proposal before the preproposal conference so that they may be prepared to discuss any question or concerns they have concerning this project. A site visit may follow the pre-proposal conference. Questions regarding this solicitation are to be directed, in writing, to the individual listed below using the email address list below or faxed to (239) 485 8383 during normal working hours.

Jake Bond Jbond@LeeGov.com

Sincerely,

Lindsay Cepero, CPPB Procurement Manager

\*WWW.LeeGov.Com/Procurement is the County's official posting site

## Terms and Conditions Request for Proposal

#### **Consultant Competitive Negotiation Act (CN)**

#### 1. DEFINTIONS

- 1.1. **Addendum/Addenda**: A written change, addition, alteration, correction or revision to a bid, proposal or contract agreement. Addendum/Addenda may be issued following a pre-bid/pre-proposal conference or as a result of a specification or work scope change to the solicitation.
- 1.2. **Approved Alternate**: Solicitation documents may make reference of specific manufacturer(s) or product(s). These references serve only as a recommendation and a guide to minimum quality and performance. The references are not intended to exclude approved alternatives of other manufacturer(s) or product(s).
- 1.3. **Bid/Proposal Package**: A bid/proposal is a document submitted by a vendor in response to some type of solicitation to be used as a basis for negotiations or for entering into a contract.
- 1.4. **Bidder/Responder/Proposer**: One who submits a response to a solicitation.
- 1.5. **County**: Refers to Lee County Board of County Commissioners.
- 1.6. **Due Date and Time/Opening**: Is defined as the date and time upon which a bid or proposal shall be submitted to the Lee County Procurement Management Division. Only bids or proposals received prior to the established date and time will be considered.
- 1.7. **Liquidated Damages**: Damages paid usually in the form of monetary payment, agreed by the parties to a contract which are due and payable as damages by the party who breaches all or part of the contract. May be applied on a daily basis for as long as the breach is in effect.
- 1.8. **Procurement Management**: shall mean the Director of Lee County's Procurement Management Department or designee.
- 1.9. **Responsible**: A vendor, business entity or individual who is fully capable to meet all of the requirements of the bid/proposal solicitation documents and subsequent contract. Must possess the full capability including financial and technical, to perform as contractually required. Must be able to fully document the ability to provide good faith performance.
- 1.10. **Responsive**: A vendor, business entity or individual who has submitted a bid or request for proposal that fully conforms in all material respects to the bid/proposal solicitation documents and all of its requirements, including all form and substance.
- 1.11. **Solicitation**: An invitation to bid, a request for proposal, invitation to negotiate or any document used to obtain bids or proposals for the purpose of entering into a contract.

#### 2. ORDER OF PRECEDENCE

- 2.1. If a conflict exists between the "Terms and Conditions" the following order of precedents will apply:
  - 2.1.1. Florida State Law as applied to Municipal Purchasing in accordance with Title XIX, "Public Business", Chapter 287 "Procurement of Personal Property and Services."
  - 2.1.2. Lee County Procurement Management Department Ordinance 18-22
  - 2.1.3. Special Conditions and Supplemental Instructions
  - 2.1.4. Detailed Scope of Work
  - 2.1.5. These Terms and Conditions

#### 3. RULES, REGULATIONS, LAWS, ORDINANCES AND LICENSES

- 3.1. It shall be the responsibility of the Proposer to assure compliance with all other federal, state, or county codes, rules, regulations or other requirements, as each may apply. Any involvement with the Lee County shall be in accordance with but not limited to:
  - 3.1.1. Lee County Procurement Policy Ordinance 18-22
  - 3.1.2. Florida State Statute 287.055: Consultant Competitive Negotiation Act (CCNA), (CN)
  - 3.1.3. Pursuant to FL § Section 119.071, Public Records, General exemptions from inspection or copying of public records, sealed bids or proposals received by the County. Pursuant to this, solicitation are exempt from public records request (s. 119.07(1) and s. 24(a), Art. I, of the Florida Constitution) until such time as the agency provides notice of a decision or intended decision (pursuant to s. 119.071(2)) or within 30 days after bid or proposal opening, whichever is earlier.

- 3.1.4. Florida Statute 337.168 Confidentiality of official estimates, identities of potential bidders, and bid analysis and monitoring.
- 3.1.5. FL § Section 607.1501(1) states: A foreign corporation may not transact business in the State of Florida until it obtains a certificate of authority from the Department of State.
- 3.2. **Local Business Tax**: If applicable, provide with proposal.
- 3.3. **License(s)**: Proposer should provide, at the time of the opening of the proposal, all necessary permits and/or licenses required for this product and/or service.

#### 4. RFP – PREPARATION OF PROPOSAL

4.1. Proposals must be sealed in an envelope, and the outside of the envelope must be affixed with the label included in the forms section.

#### 4.2. **Submission Format**:

- 4.2.1. Required Forms: complete and return **all** required forms. If the form is not applicable, please return with "Not Applicable" or "N/A" in large letters across the form.
- 4.2.2. Execution of Proposal: All documents must be properly signed by corporate authorized representative, and where applicable witnessed and corporate and/or notary seals affixed. All proposals shall be typed or printed in ink. The Proposer may not use erasable ink. All corrections made to the proposal shall be initialed.
- 4.2.3. Should not contain links to other Web pages.

#### 4.3. **Preparation Cost**:

4.3.1. The Proposer is solely responsible for any and all costs associated with responding to this solicitation. No reimbursement will be made for any costs associated with the preparation and submittal of any proposal, or for any travel and per diem costs that are incurred by any Proposer, as a result of this solicitation and subsequent evaluation process.

#### 5. RESPONSES RECEIVED LATE

- 5.1. It shall be the Proposer's sole responsibility to deliver the proposal submission to the Lee County Procurement Management Division prior to or on the time and date stated.
- 5.2. Any proposals received after the stated time and date will not be considered. The proposal shall not be opened at the public opening. Arrangements may be made for the unopened proposal to be returned at the Proposer's request and expense.
- 5.3. The Lee County Procurement Management Division shall not be responsible for delays caused by the method of delivery such as, but not limited to; Internet, United States Postal Service, overnight express mail service(s), or delays caused by any other occurrence.

#### 6. PROPOSER REQUIREMENTS (unless otherwise noted)

- 6.1. **Responsive and Responsible**: Only proposals received from responsive and responsible Proposers will be considered. The County reserves the right before recommending any award to inspect the facilities and organization; or to take any other necessary action, such as background checks, to determine ability to perform is satisfactory, and reserves the right to reject submission packages where evidence submitted or investigation and evaluation indicates an inability for the Proposer to perform.
  - 6.1.1. Additional sources may be utilized to determine credit worthiness and ability to perform.
  - 6.1.2. Any Proposer or sub-Proposer that will have access to County facilities or property may be required to be screened to a level that may include, but is not limited to fingerprints, statewide criminal. There may be fees associated with these procedures. These costs are the responsibility of the Proposer or sub-Proposer.
  - 6.1.3. Proposers are responsible for ensuring that any required background screening are conducted in accordance with Chapter 435. Proposers shall be aware, understand, and ensure compliance with the statutory requirements regarding background checks. FL Statutes Chapter 435 governs required background screenings for any employees, contractors, subcontractors, or agents of the Proposer who will have contact with any vulnerable person, as defined by statute, or who otherwise are required to undergo a Level 1 or Level 2 background screening in accordance with Florida law. Such requirements shall flow down to sub-contractors/consultants of the prime Proposer and prime Proposer shall ensure compliance with Chapter 435 of such parties.

- 6.1.3.1. Documentation of such completed background screenings must be maintained for a period of no less than five (5) years and are subject to audit by Lee County at any time during such five (5) year period.
- 6.2. **Past Performance**: All vendors will be evaluated on their past performance and prior dealings with Lee County (i.e., failure to meet specifications, poor workmanship, late delivery, etc.) Poor or unacceptable past performance may result in Proposer disqualification.

#### 7. PRE-SOLICITATION CONFERENCE

- 7.1. A pre-solicitation conference will be held in the location, date, and time specified on the cover of this solicitation. The cover will also note if the pre-solicitation conference is Non-Mandatory or Mandatory. All questions and answers are considered informal. All prospective Proposers are encouraged to obtain and review the solicitation documents prior to the pre-proposal so they may be prepared to discuss any questions or concerns they have concerning this project. All questions must be submitted formally in writing to the procurement staff noted on the first page of the solicitation document. A formal response will be provided in the form of an addendum (see "County Interpretation/Addendums" for additional information.) A site visit may follow the pre-proposal conference, if applicable.
- 7.2. **Non-Mandatory**: Pre-solicitation conferences are generally non-mandatory, but it is highly recommended that prospective Proposers participate.
- 7.3. **Mandatory**: Failure to attend a mandatory pre-solicitation conference will result in the proposal being considered **non-responsive**.

#### 8. COUNTY INTERPRETATION/ADDENDUMS

- 8.1. Each Proposer shall examine the solicitation documents and shall judge all matters relating to the adequacy and accuracy of such documents. Any inquiries, suggestions or requests concerning interpretation, clarification or additional information pertaining to the solicitation shall be submitted in writing prior to 5:00 PM at least eight (8) calendar days prior to the date when the submission is due.
- 8.2. Response(s) will be in the form of an Addendum posted on <a href="www.leegov.com/procurement">www.leegov.com/procurement</a>. It is solely the Proposer's responsibility to check the website for information. No notifications will be sent directly to proposers by Lee County Procurement Management Division associated with this solicitation.
- 8.3. All Addenda shall become part of the Contract Documents.
- 8.4. The County shall not be responsible for oral interpretations given by any County employee, representative, or others. Interpretation of the meaning of the plans, specifications or any other contract document, or for correction of any apparent ambiguity, inconsistency or error there in, shall be in writing. Issuance of a written addendum by the County's Procurement Management Division is the only official method whereby interpretation, clarification or additional information can be given.

#### 9. ADDITIONS, REVISONS AND DELETIONS

9.1. Additions, revisions, or deletions to the Terms and Conditions, Specifications, Bid Schedule, or other document provided by Lee County Procurement Management Division that changes the intent of the solicitation will cause the solicitation to be non-responsive and the proposal will not be considered. The Procurement Management Director shall be the sole judge as to whether or not any addition, revision, or deletion changes the intent of the solicitation.

#### 10. CONFIDENTIALITY

- 10.1. Proposers should be aware that all proposals provided are subject to public disclosure and will **not** be afforded confidentiality, unless provided by Chapter 119 Florida Statute.
- 10.2. If information is submitted with a proposal that is deemed "Confidential" the Proposer must stamp those pages of the proposal that are considered confidential. The Proposer must provide documentation as to validate why these documents should be declared confidential in accordance with Chapter 119, "Public Records," exemptions.
- 10.3. Lee County <u>will not reveal engineering estimates or budget amounts for a project</u> unless required by grant funding or unless it is in the best interest of the County. According to Florida State Statute 337.168: A document or electronic file revealing the official cost estimate of the department of a project is confidential

and exempt from the provisions of s. 119.07(1) until the contract for the project has been executed or until the project is no longer under active consideration.

#### 11. CONFLICT OF INTEREST

11.1. All Proposers are hereby placed on formal notice that per Section 3 of Lee County Ordinance No. 92-22: The County is prohibited from solicitation of a professional services firm to perform project design and/or construction services if the firm has or had been retained to perform the project feasibility or study analysis.

#### And:

- 11.2. A professional services firm who has performed or participated in the project feasibility planning, study analysis, development of a program for future implementation or drafting of solicitation documents directly related to this County project, as the primary vendor/consulting team, cannot be selected or retained, as the primary consultant/vendor or named a member of the consulting/contracting team, to perform project design, engineering or construction services for subsequent phase(s) or scope of work for this project. Pursuant to FS. S287.057 (17) the firm will be deemed to have a prohibited conflict of interest that creates an unfair competitive advantage.
- 11.3. Should your proposal be found in violation of the above stated provisions; the County will consider this previous involvement in the project to be a conflict of interest, which will be cause for immediate disqualification of the proposal from consideration for this project.
- 11.4. **Business Relationship Disclosure Requirement**: The award hereunder is subject to the provisions of Chapter 112, Public Officers and Employees: General Provisions, Florida Statues. All Proposers must disclose with their proposal the name of any officer, director or agent who is also an employee of the Lee County or any of its agencies. Further, all Proposers must disclose the name of any County employee who owns directly or indirectly, an interest of five percent (5%) or more in the Proposer's firm or any of its branches.

#### 12. ANTI-LOBBYING CLAUSE (Cone of Silence)

12.1. Following Florida Statute Section 287.057(23), Upon the issuance of the solicitation, prospective Proposers or any agent, representative or person acting at the request of such Proposer shall not have any contact, communicate with or discuss any matter relating in any way to the solicitation with any Commissioner, Evaluation Review Committee, agent or employee of the County other than the Procurement Management Director or their designee. This prohibition begins with the issuance of any solicitation, and ends upon execution of the final contract or when the solicitation has been cancelled. If it is determined that improper communications were conducted, the Proposer maybe declared non-responsible.

#### 13. DRUG FREE WORKPLACE

13.1. Lee County Board of County Commissioners encourages Drug Free Workplace programs.

#### 14. DISADVANTAGED BUSINESS ENTERPRISE (DBE's)

- 14.1. The County encourages the use of Disadvantaged Business Enterprise Proposer(s) as defined and certified by the Florida Department of Transportation.
- 14.2. Bidder/Proposer is requested to indicate whether the Firm and/or any proposed sub-consultants are Disadvantaged Business Enterprises (DBE). Lee County encourages the utilization and participation of DBEs in procurements, and evaluation proceedings will be conducted within the established guidelines regarding equal employment opportunity and nondiscriminatory action based upon the grounds of race, color, sex or national origin. Interested Florida Certified Enterprises such as Disadvantaged, Minority, Women, Veterans Business Enterprise (DBE, MBE, WBE, VBE) firms and similar are encouraged to submit.

#### 15. ANTI-DISCRIMINATION/EQUAL EMPLOYMENT OPPORTUNITY

15.1. The Proposer agrees to comply, in accordance with Florida Statute 287.134, 504 of the Rehabilitation Act of 1973 as amended, the Americans with Disabilities Act of 1990 (ADA), the ADA Amendments Act of 2008 (ADAAA) that furnishing goods or services to the County hereunder, no person on the grounds of race, religion, color, age, sex, national origin, disability or marital status shall be excluded from participation in, denied the benefits of, or otherwise be subjected to discrimination.

- 15.2. The Proposer will not discriminate against any employee or applicant for employment because of race, religion, color, age, sex, national origin, disability or marital status. The Proposer will make affirmative efforts to ensure that applicants are employed and that employees are treated during employment without regard to their race, religion, color, age, sex, national origin, disability or marital status.
- 15.3. The Proposer will include the provisions of this section in every sub-contract under this contract to ensure its provisions will be binding upon each sub-contractor. The Proposer will take such actions in respect to any sub-contractor, as the contracting agency may direct, as a means of enforcing such provisions, including sanctions for non-compliance.
- 15.4. An entity or affiliate who has been placed on the State of Florida's Discriminatory Vendor List (This list may be viewed by going to the Department of Management Services website at <a href="http://www.dms.myflorida.com">http://www.dms.myflorida.com</a>) may not submit a bid on a contract to provide goods or services to a public entity, may not submit a bid on a contract with a public entity for the construction or repair of a public building or public work, may not submit bids on leases of real property to a public entity, may not award or perform work as a vendor, supplier, sub-contractor, or consultant under contract with any public entity, and may not transact business with any public entity.

#### 16. SUB-PROPOSER/CONSULTANT

16.1. The use of sub-Proposer/consultant under this solicitation is not allowed without prior written authorization from the County representative.

#### 17. RFP - PROJECT GUIDELINES

- 17.1. The County has established the following Guidelines, Criteria, Goals, Objectives, Constraints, Schedule, Budget and or Requirements which shall service as a guide to the Proposer(s) in conforming the professional services and work to provide pursuant to this Agreement/Contract:
  - 17.1.1. No amount of work is guaranteed upon the execution of an agreement/contract.
  - 17.1.2. Hourly rates and all other negotiated expenses will remain in effect throughout the duration of the agreement/contract period; inclusive of any renewals unless otherwise specified herein.
  - 17.1.3. This contract does not entitle any firm to exclusive rights to County agreements/contracts. The County reserves the right to perform any and all available required work in-house or by any other means it so desires
  - 17.1.4. In reference to vehicle travel, mileage and man-hours spent in travel time, is considered incidental to the work and not an extra compensable expense.
  - 17.1.5. Lee County reserves the right to add or delete, at any time, and or all tasks or services associated with this agreement.
  - 17.1.6. Any Single Large Project: The County, in its sole discretion, reserves the right to separately solicit any project that is outside the scope of this solicitation, whether through size, complexity or the dollar value.

#### 18. RFP – EVALUATION

18.1. **Ranking Method**: Lee County uses the Dense Ranking (1223" ranking). In Dense Ranking, items that compare equal, receive the same ranking number, and the next item(s) receive the immediately following ranking number. Equivalently, each item's ranking number is 1 plus the number of items ranked above it that are distinct with respect to the ranking order. This ranking method is used for each individual committee member's scores. Thus if A ranks ahead of B and C (which compare equal) which are both ranked ahead of D, then A is ranked number 1("first"), B is ranked number 2 ("joint second"), C is also ranked number 2 ("joint second") and D is ranked number 3 ("third").

#### 18.2. **Evaluation Meeting(s)**:

- 18.2.1. The first evaluation will rank Proposers based on the scores from the selection criteria point values.
- 18.2.2. Following the initial evaluation process, the short-listed proposer(s) may be required to provide an on-site interview/presentation.
- 18.2.3. Such subsequent evaluations are to be accomplished by simply ranking the Proposers based off the details provided through the on-site interview/presentation. Proposers will be ranked in sequential order with one (1) being the highest ranking. Proposers' rankings will then be totaled with the total

- lowest scores receiving final rank order starting with one (1) that shall indicate the highest technically evaluated and most qualified Proposer by the evaluation committee.
- 18.2.4. Proposed short-list and final selection meeting dates are posted on the Procurement Management web page: <a href="www.leegov.com/procurement">www.leegov.com/procurement</a> (Projects, Award Pending.)

#### 19. RFP – SELECTION PROCEDURE

- 19.1. The selection will be made in accordance with Lee County Procurement Policy and Chapter 287.055 FL § for Professional Services Contracts. Some or all of the responding Proposer(s) may be requested to provide interviews and/or presentations of their proposal, for the ranking process
- 19.2. Agreement/Contract fees will be negotiated in accordance with Section 287.055 FL §.
- 19.3. The recommendation to award, negotiated rates and agreement/contract(s) will be submitted to the Board of County Commissioners for approval.
- 19.4. If a satisfactory agreement/contract(s) cannot be negotiated, in a reasonable amount of time, the County, in its sole discretion, may terminate negotiations with the selected Proposer(s) and begin agreement/contract negotiations with the next finalist.
- 19.5. The Procurement Management Director reserves the right to exercise their discretion to:
  - 19.5.1. Make award(s) to one or multiple Proposers.
  - 19.5.2. Waive minor informalities in any response;
  - 19.5.3. Reject any and all proposals with or without cause;
  - 19.5.4. Accept the response that in its judgment will be in the best interest of Lee County.

#### 20. RFP – TIEBREAKER

- 20.1. In the event of a tie, two or more proposers that have the same ranking, the following steps will be taken to determine the highest ranked proposer. This method shall be used for all (RFP) ties.
  - 20.1.1. Step 1: The proposer that has the highest number of 1<sup>st</sup> place rankings shall be deemed the first ranked proposer. In the event a tie still exists the proposer with the highest number of 2<sup>nd</sup>, place rankings shall be the first ranked proposer. Should a tie still remain the method used above will continue with each ranking level, 3<sup>rd</sup>, then 4<sup>th</sup>, then 5<sup>th</sup>, etc. rank, will be counted until the tie is broken.
  - 20.1.2. Step 2: At the conclusion of step 1, if all is equal, the proposer having a drug-free work place program, shall be deemed the first ranked proposer.
  - 20.1.3. Step 3: In the event the tie exists then the highest ranked proposer from the first evaluation committee meeting, in which point values were applied, will win the award. One being the highest.
  - 20.1.4. Step 4: At the conclusion of steps 1, 2, 3, if all are equal, the 1<sup>st</sup> place proposer shall be determined by the flip of a coin.
- 20.2. When the tiebreaker is determined the highest ranked Proposer shall be awarded the contract or receive the first opportunity to negotiate, as applicable.
- 20.3. If an award or negotiation is unsuccessful with the highest ranked Proposer, award or negotiations may commence with the next highest ranked Proposer.

#### 21. RFP - EVALUATION/ SELECTION COMMITTEE

- 21.1. The selection shall be by a Selection Committee consisting of staff representatives from the appropriate County Departments as approved by the Procurement Management Director or designee unless otherwise mandated by law.
- 21.2. The Selection Committee will receive and review written proposals in response to this Request for Proposal (RFP). Responses will be evaluated against a set of criteria to determine those Proposers/Firms most qualified and suited for this project, resulting, where applicable, in a short-list of no fewer than the top ranked three (3) firms to be interviewed or provide presentations.
- 21.3. The County reserves the right, where allowable and applicable, to begin negotiations with the top ranked firm(s) without hosting interviews/presentations.

#### 22. WITHDRAWAL OF PROPOSAL

22.1. No proposal may be withdrawn for a period of **180 calendar days** after the scheduled time for receiving proposals. A proposal may be withdrawn prior to the proposal opening date and time. Withdrawal requests

- must be made in writing to the Procurement Management Director, who will approve or disapprove the request.
- 22.2. A Proposer may withdraw a proposal any time prior to the opening of the solicitation.
- 22.3. After proposals are opened, but prior to award of the contract by the County Commission, the Procurement Management Director may allow the withdrawal of a proposal because of the mistake of the Proposer in the preparation of the proposal document. In such circumstance, the decision of the Procurement Management Director to allow the proposal withdrawal, although discretionary, shall be based upon a finding that the Proposer, by clear and convincing evidence, has met each of the following four tests:
  - 22.3.1. The Proposer acted in good faith in submitting the proposal,
  - 22.3.2. The mistake in proposal preparation that was of such magnitude that to enforce compliance by the Proposer would cause a severe hardship on the Proposer,
  - 22.3.3. The mistake was not the result of gross negligence or willful inattention by the Proposer; and
  - 22.3.4. The mistake was discovered and was communicated to the County prior to the County Commission having formally awarded the contract/agreement.

#### 23. PROTEST RIGHTS

- 23.1. Any Bidder that has submitted a formal Response to Lee County, and who is adversely affected by an intended decision with respect to the Award, has the right to protest an intended decision posted by the County as part of the Solicitation process.
- 23.2. Notice of Intended Decision is posted on the Lee County Department of Procurement Management website (<a href="www.leegov.com/procurement">www.leegov.com/procurement</a>). Bidders are solely responsible to check for information regarding the Solicitation.
- 23.3. Refer to the "Procurement Protest" section of the Lee County Procurement Ordinance 18-22 for a complete description of the protest process and associated requirements. The ordinance is posted on the Lee County website or may be obtained by contacting the Procurement Management Director.
- 23.4. In order to preserve the right to protest, a written "Notice Of Intent To File A Protest" must be filed with the Lee County Procurement Management Director within seventy-two (72) hours of Posting of the Notice of Intended Decision.
  - 23.4.1. The notice shall clearly indicate all grounds being claimed for the protest.
  - 23.4.2. The notice must be physically received by the Procurement Management Director within the required time frame described above. No additional time will be granted for mailing.
- 23.5. Following receipt of the Notice of Intent to File a Protest, a "Protest Bond" and "Formal Written Protest" must be filed within ten (10) business days of Posting of the Notice of Intended Decision.
- 23.6. Failure to follow the protest procedures requirement within the time frames as prescribed herein and in the Lee County Procurement Ordinance 18-22 shall constitute a waiver of the right to protest and shall bar any resulting claims.

#### 24. AUTHORITY TO UTILIZE BY OTHER GOVERNMENT ENTITIES

24.1. This opportunity is also made available to any government entity. Pursuant to their own governing laws, and subject to the agreement of the vendor, other entities may be permitted to make purchases at the terms and conditions contained herein. Lee County Board of County Commissioners will not be financially responsible for the purchases of other entities from this solicitation.

#### 25. CONTRACT ADMINISTRATION

#### 25.1. **Designated Contact:**

- 25.1.1. The awarded Proposer shall appoint a person(s) to act as a primary contact for all County departments. This person or back-up shall be readily available during normal working hours by phone or in person, and shall be knowledgeable of the terms and procedures involved.
- 25.1.2. Lee County requires that the awarded Proposer to provide the name of a contact person(s) and phone number(s) which will afford Lee County access 24 hours per day, 365 days per year, of this service in the event of major breakdowns or natural disasters.
- 25.2. **RFP Term:**

- 25.2.1. Unless otherwise stated in the scope of work, specifications, or special conditions the default contract term shall be one (1) year with three (3), one (1) year renewals for a total of four (4) years upon mutual written agreement of both parties.
- **25.2.2.** The County reserves the right to renew this contract, or any portion thereof, and to negotiate pricing as a condition for each.
- **25.2.3.** The County's performance and obligation to pay under this contract, and any applicable renewal options, is contingent upon annual appropriation of funds.

#### 25.3. **RFP – Basis of Award:**

25.3.1. Award will be made to the most responsible and responsive Proposer based on the evaluation criteria.

#### 25.4. **Agreement/Contract:**

25.4.1. The awarded Proposer will be required to execute an Agreement/Contract as a condition of award. A sample of this document may be viewed on-line at <a href="http://www.leegov.com/procurement/forms">http://www.leegov.com/procurement/forms</a>.

#### **25.5. Records:**

- 25.5.1. <u>Retention</u>: The Proposer shall maintain such financial records and other records as may be prescribed by Lee County or by applicable federal and state laws, rules and regulations. Unless otherwise stated in the specifications, the Proposer shall retain these records for a period of five years after final payment, or until they are audited by Lee County, whichever event occurs first.
- 25.5.2. Right to Audit/Disclosure: These records shall be made available during the term of the contract as well as the retention period. These records shall be made readily available to County personnel with reasonable notice and other persons in accordance with the Florida General Records Schedule. Awarded Bidder/Proposer(s) are hereby informed of their requirement to comply with FL §119 specifically to:
  - 25.5.2.1. Keep and maintain public records required by the County to perform the service.
  - 25.5.2.2. Upon request from the County's custodian of public records, provide the County with a copy of the requested records or allow the records to be inspected or copied within a reasonable time at a cost that does not exceed the cost provided or as otherwise provided by law.
  - 25.5.2.3. Ensure that public records that are exempt or confidential and exempt from public records disclosure requirements are not disclosed except as authorized by law for the duration of the contract term and following completion of the contract if the contractor does not transfer the records to the County.
  - 25.5.2.4. Upon completion of the contract, transfer, at no cost, to the County all public records in possession of the contractor or keep and maintain public records required by the County to perform the service. If the contractor transfers all public records to the County upon completion of the contract, the contractor shall destroy any duplicate public records that are exempt or confidential and exempt from public records disclosure requirements. If the contractor keeps and maintains public records upon completion of the contract, the contractor shall meet all applicable requirements for retaining public records. All records stored electronically must be provided to the County, upon request from the County's custodian of public records, in a format that is compatible with the information technology systems of the County.
  - 25.5.3. Public Record: IF THE VENDOR HAS QUESTIONS REGARDING THE APPLICATION OF CHAPTER 119, FLORIDA STATUTES, TO THE VENDOR'S DUTY TO PROVIDE PUBLIC RECORDS RELATING TO THE CONTRACT, CONTACT THE CUSTODIAN OF PUBLIC RECORDS AT 239-533-2221, 2115 SECOND STREET, FORT MYERS, FL 33901, Email at <a href="mailto:precords-precords-
  - 25.5.4. Ownership: It is understood and agreed that all documents, including detailed reports, plans, original tracings, specifications and all data prepared or obtained by the successful Proposer in

connection with its services hereunder, include all documents bearing the professional seal of the successful Proposer, and shall be delivered to and become the property of Lee County, prior to final payment to the successful Proposer or the termination of the agreement. This includes any electronic versions, such as CAD or other computer aided drafting programs.

#### 25.6. **Termination:**

- 25.6.1. Any agreement as a result of this solicitation may be terminated by either party giving **thirty** (30) calendar days advance written notice. The County reserves the right to accept or not accept a termination notice submitted by the Proposer, and no such termination notice submitted by the vendor shall become effective unless and until the vendor is notified in writing by the County of its acceptance.
- 25.6.2. The Procurement Management Director may immediately terminate any agreement as a result of this solicitation for emergency purposes, as defined by the Lee County Procurement Management Ordinance 18-22.
- 25.6.3. Any Proposer who has voluntarily withdrawn from a solicitation without the County's mutual consent during the contract period shall be barred from further County procurement for a **period of 180 days**. The vendor may apply to the Board for a waiver of this debarment. Such application for waiver of debarment must be coordinated with and processed by the Procurement Management Department.
- 25.6.4. The County reserves the right to terminate award or contract following any of the below for goods or services over \$1,000.000:
  - 25.6.4.1. Contractor is found to have submitted a false certification as provided under FL § 287.135 (5);
  - 25.6.4.2. Contractor has been placed on the Scrutinized Companies with Activities in Sudan List or the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List;
  - 25.6.4.3. Contractor has engaged in business operations in Cuba or Syria;
  - 25.6.4.4. Contractor has been placed on the Scrutinized Companies that Boycott Israel List, or is engaged in a boycott of Israel beginning October 1, 2016.

#### 26. WAIVER OF CLAIMS

26.1. Once this contract expires, or final payment has been requested and made, the awarded vendor shall have no more than **thirty** (30) **calendar days** to present or file any claims against the County concerning this contract. After that period, the County will consider the vendor to have waived any right to claims against the County concerning this agreement.

#### 27. LEE COUNTY PAYMENT PROCEDURES

27.1. All vendors are requested to mail an original invoice to:

Lee County Finance Department Post Office Box 2238

Fort Myers, FL 33902-2238

- 27.2. All invoices will be paid as directed by the Lee County payment procedure unless otherwise stated in the detailed specification portion of this project.
- 27.3. Lee County will not be liable for requests for payment deriving from aid, assistance, or help by any individual, vendor, Proposer, or bidder for the preparation of these specifications.
- 27.4. Lee County is generally a tax exempt entity subject to the provisions of the 1987 legislation regarding sales tax on services. Lee County will pay those taxes for which it is obligated, or it will provide a Certificate of Exemption furnished by the Department of Revenue. All Proposers should include in their proposal, all sales or use taxes, which they will pay when making purchases of material or sub-contractor's services.

#### 28. INSURANCE (AS APPLICABLE)

28.1. Insurance shall be provided by the awarded Proposer. Upon request, a certificate of insurance (COI) complying with the attached guide shall be provided by the Proposer.

End of Terms and Conditions Section

#### INSURANCE REQUIREMENTS



# Lee County Insurance Requirements including Professional Liability

Minimum Insurance Requirements: Risk Management in no way represents that the insurance required is sufficient or adequate to protect the vendors' interest or liabilities. The following are the required minimums the vendor must maintain throughout the duration of this contract. The County reserves the right to request additional documentation regarding insurance provided

a. <u>Commercial General Liability</u> - Coverage shall apply to premises and/or operations, products and completed operations, independent contractors, contractual liability exposures with minimum limits of:

\$1,000,000 per occurrence \$2,000,000 general aggregate \$1,000,000 products and completed operations \$1,000,000 personal and advertising injury

b. <u>Business Auto Liability</u> - The following Automobile Liability will be required and coverage shall apply to all owned, hired and non-owned vehicles use with minimum limits of:

\$1,000,000 combined single limit (CSL)

c. Workers' Compensation - Statutory benefits as defined by FS 440 encompassing all operations contemplated by this contract or agreement to apply to all owners, officers, and employees regardless of the number of employees. Workers Compensation exemptions may be accepted with written proof of the State of Florida's approval of such exemption. Employers' liability will have minimum limits of:

\$500,000 per accident \$500,000 disease limit \$500,000 disease – policy limit

d. <u>Errors and Omissions</u> - Coverage shall include professional liability insurance, to cover claims arising out of negligent acts, errors or omissions of professional advice, privacy and network security insurance covering for losses arising from disclosure of confidential information, or other professional services.

\$1,000,000 per occurrence

\*The required minimum limit of liability shown in a. and b. may be provided in the form of "Excess Insurance" or "Commercial Umbrella Policies." In which case, a "Following Form Endorsement" will be required on the "Excess Insurance Policy" or "Commercial Umbrella Policy."

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#### Verification of Coverage:

- Coverage shall be in place prior to the commencement of any work and throughout the duration of the contract. A certificate of insurance will be provided to the Risk Manager for review and approval. The certificate shall provide for the following:
  - a. The certificate holder shall read as follows:

Lee County Board of County Commissioners P.O. Box 398 Fort Myers, Florida 33902

b. "Lee County, a political subdivision and Charter County of the State of Florida, its agents, employees, and public officials" will be named as an "Additional Insured" on the General Liability policy, including Products and Completed Operations coverage.

#### Special Requirements:

- 1. An appropriate "Indemnification" clause shall be made a provision of the contract.
- It is the responsibility of the general contractor to insure that all subcontractors comply with all insurance requirements.

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End of Insurance Guide section

#### SPECIAL CONDITIONS

These are conditions that are in relation to this solicitation only and have not been included in the County's standard Terms and Conditions or the Scope of Work.

#### 1. PROJECT TERM

1.1. The Agreement shall commence on the date agreed upon through negotiations and set forth in the subsequent and associated Agreement documents. The term of this Agreement is anticipated to be for a period of approximately eighteen (18) months from the commencement date of the Notice to Proceed. Duration is subject to change following negotiations with the selected CONSULTANT and as deemed in the best interest of COUNTY.

#### 2. LEE COUNTY ORDIANCE 92-22 & 5.5.2 NOTICE

- 2.1. It is the intent of the project sponsoring department to request a waiver of the Lee County Ordinance 92-22 as well as the Lee County Procurement Ordinance 18-22 article 5.5.2 which prohibits the use of the same professional services firm, inclusive of their sub-consultants, utilized for both the study analysis and for future project services such as design and/or construction related services. Although not guaranteed, it is the intent through the waiver request, to allow the CONSULTANT, inclusive of their sub-consultants, to engage, propose for, and up to receive award for future solicitations and projects associated with/or as a result of this Master Plan study work.
- 2.2. This notice does not guarantee the request for waiver be presented to the Board nor does it guarantee the Board's approval of said waiver, but is intended to educate the proposing CONSULTANT(S) on the applicability of these ordinances and the current intent of the project sponsoring department. It shall remain the County's sole discretion to present or not to present the request for waiver as well as remain the County's sole discretion to waive the Lee County Ordinances 92-22 and the Lee County Procurement Ordinance 18-22 article 5.5.2 as the County deems in its best interest.

**End of Special Conditions** 

## **DETAILED SPECIFICATIONS**

#### 1. GENERAL SCOPE OF WORK

1.1. The Lee County Board of County Commissioners hereinafter referred to as the "COUNTY" seeks to contract professional services through a skilled and qualified consultant hereinafter referred to as the "CONSULTANT" to develop and prepare a master plan for the Fort Myers Beach Water Reclamation Facility (FMBWRF) that generally consists of comprehensive plant and operations assessment with the objective of provide a detailed schedule of improvements for long-term efficient operation and performance of the plant. The master plan shall evaluate current treatment processes, flows, and the physical condition of the plant; identify improvements to the facility that both optimize efficiency with respect to capital and operational costs, and are required to maintain the permitted capacity over the next thirty years; finally, the master plan shall prioritize the sequencing of proposed improvements.

#### 2. PROFESSIONAL SERVICES

Professional services shall consist of, but not be limited to, the following tasks.

- 2.1. Master Plan Preparation The CONSULTANT shall address these key aspects in the master plan:
  - 2.1.1.Existing and future flows and pollutant loadings.
  - 2.1.2. Current improvements and their impact on the master plan.
  - 2.1.3. Existing plant facilities/structures and their estimated remaining useful life.
  - 2.1.4. Existing treatment processes and recommendations for improvements to maximize plant efficiency.
  - 2.1.5. Plant hydraulic capacity analysis.
  - 2.1.6. Waste discharge and treatment requirements.
  - 2.1.7.Recommended improvements and associated costs.
  - 2.1.8. Prioritization and sequencing of improvements.
  - 2.1.9. Alternatives analysis, including evaluation of improvements needed to meet the requirements of Advanced Wastewater Treatment (AWT).
- 2.2. Preparation and presentation of 50% and 100% master plan drafts.
- 2.3. Coordination with COUNTY operations and engineering staff.
- 2.4. Coordination and acquisition of information from external consultants and contractors who are currently contracted with the COUNTY on improvements to the FMBWRF.
- 2.5. Presentation of the final master plan to COUNTY staff.

#### 3. BACKGROUND

- 3.1. The Fort Myers Beach Water Reclamation Facility (FMBWRF) services the southwest region of Lee County, including the Iona-McGregor area and Fort Myers Beach along Estero Boulevard. The plant was originally constructed in 1979 with a treatment capacity of 2.7 million gallons per day (MGD) of annual average daily flow (AADF). In 1989, the plant was expanded to its current permitted capacity of 6.0 MGD AADF. In 1999, the plant was converted to a Modified Ludzak Ettinger (MLE) process to further reduce effluent nitrate concentrations and to provide more stable operation.
- 3.2. Presently, much of the plant suffers from excessive deterioration. As a result of deteriorating conditions, the plant has been slated for several improvements. These include the following:
  - 3.2.1. FMBWRF Aeration Process, Flows and Loadings Study
    - 3.2.1.1. Project Status: CONSULTANT selection.
    - 3.2.1.2. Project Description: The study will evaluate the FMBWRF aeration processes, flows, and water quality characteristics with the objective of providing a recommendation of short-term improvements that will allow the plant to meet current peak demand while maintaining the

- minimum required water quality standards set by the Florida Department of Environmental Protection (FDEP) and the County.
- 3.2.1.3. It is anticipated that the selected CONSULTANT for this project will work closely with the CONSULTANT selected for the FMBWRF Master Plan project for the purpose of sharing data in regard to flows, loadings, and recommended improvements. Any flows or loadings data not obtained in this project, shall be addressed in the FMBWRF Master Plan; this may include, but not be limited to, future flow and loading modeling/projections.

#### 3.2.2. FMBWRF Deep Injection Well and Reuse Main Improvements

- 3.2.2.1. Project Status: Initial stages of design and permitting.
- 3.2.2.2. Project Description: This project includes installation of a second deep injection well at the FMBWRF and installation of a reuse main between the FMBWRF and Fiesta Village Water Reclamation Facility.

#### 3.2.3. FMBWRF Reject Pump Station and Piping Improvements

- 3.2.3.1. Project Status: CONSULTANT selection for design.
- 3.2.3.2. Project Description: This project includes improvements to the reject pump station and piping to bring the rapid infiltration basin system into compliance with the new FDEP permit.

#### 3.2.4. FMBWRF Main Switchgear and Electrical Improvements

- 3.2.4.1. Project Status: CONSULTANT selection for design.
- 3.2.4.2. Project Description: This project replaces the existing switchgear and all related electrical equipment at the plant.

#### 3.2.5. Pine Ridge Force Main Replacement

- 3.2.5.1. Project Status: Bidding phase
- 3.2.5.2. Project Description: This project includes complete replacement of the force main and appurtenances between the FMBWRF and Gulf Reflections Drive.

#### 3.2.6. FMBWRF Plant Demolitions

- 3.2.6.1. Project Status: CONSULTANT selection for design.
- 3.2.6.2. Project Description: This project includes demolition and removal of decommissioned equipment at the plant.

#### 3.2.7. FMBWRF Belt Press Replacement

- 3.2.7.1. Project Status: CONSULTANT selection for design.
- 3.2.7.2. Project Description: This project replaces both belt presses at the plant.

#### 3.2.8. FMBWRF Odor Control Improvements

- 3.2.8.1. Project Status: On-hold and awaiting recommendations to be provided in the FMBWRF Master Plan.
- 3.2.8.2. Project Description: The proposed project scope includes complete replacement of the plant's odor control system, which is more than 30 years old and has reached the end of its useful life.

#### 3.2.9. FMBWRF Flow Equalization Tank Replacement

- 3.2.9.1. Project Status: On-hold and awaiting recommendations to be provided in the FMBWRF Master Plan.
- 3.2.9.2. Project Description: The project scope includes complete replacement of the flow equalization tank and all associated components.

#### 3.2.10. FMBWRF Redundant Automated Bar Screen Improvements

- 3.2.10.1. Project Status: On-hold and awaiting recommendations to be provided in the FMBWRF Master Plan
- 3.2.10.2. Project Description: The project scope includes installation of a redundant bar screen and all associated components.

3.3. In addition to the rehabilitation of the plant, Lee County Utilities (LCU) understands that the flows and loading demands on the plant may have changed since the plant was last updated in 1999. Furthermore, as new technologies are developed, opportunities to implement more efficient or environmentally acceptable solutions may have arisen. Accordingly, as the plant owner and operator, LCU must continually assess the facility in light of current and expected conditions and constraints and make changes when appropriate.

#### SUBMITTAL REQUIREMENTS & EVALUATION CRITERIA

#### 1. SUBMITTAL REQUIREMENTS & EVALUATION CRITERIA

- 1.1 Interested firms shall include the following information in their submittal responses to this solicitation. The following format and sequence should be followed in order to provide consistency in the firm's responses and to ensure each proposal receives full consideration. Use 8 ½ x 11 sheet pages only with minimum font size of 10 points and with tabs or section dividers to separate sections as defined below. More than one section is permitted on one page unless otherwise indicated below. Undesignated information shall be inserted at the rear of each package. Place page numbers at the bottom of every page, excluding dividers. Proposal documents should not contain links to other web pages; such links will not be reviewed for evaluation purposes.
- 1.2 Submittal package may not exceed **10 pages** printed single-sided; **page restriction excludes required forms found herein and dividers**. **PLEASE INCLUDE PAGE TABS/SECTION DIVIDERS** so that those evaluating your submittal can easily compare each section with others that are submitted. If any of the information provided by the Proposer is found to be, in the sole opinion of the Evaluation Committee and Procurement Management Director, substantially unreliable their proposal may be rejected.
- 1.3 Proposers shall submit one (1) original hard copy (clearly marked as such) and one (1) electronic version on a USB flash drive set(s) containing the proposal submittal in an unlocked PDF format. The County may request specific files be submitted in specialty format (IE: Provide a Project Timeline in Excel format.) Vendor shall accommodate such specialty requests as stated within the submittal requirements describe herein. Should files not be provided in the format or quantity as requested Vendor may be deemed Non-Responsive and therefore ineligible for award. In case of any discrepancies, the original will be considered by the County in evaluating the Proposal, and the electronic version is provided for the County's administrative convenience only. Limit the color and number of images to avoid unmanageable file sizes.

#### **COVER PAGE: Introduction**

- Project RFP Number & Name
- Firm's Name & Address
- Firm's Contact Person & Information (phone, fax and email address)
- ➤ How many years has Proposer been in business under present name?
- ➤ Under what other former names has your organization operated?

\*Cover Page: Introduction does NOT count towards page restriction requested herein.\*

#### **TAB 1: Qualifications of Firm**

> Provide a description of your firm, your firm's experience, and underlying philosophy in providing the services as described and requested herein. Description should include details such as: abilities, capacity, skill, strengths, number of years, location of office(s), as well as MBE, WBE, DBE, VBE or similar status, and recent, current, and/or projected workload, etc...

#### TAB 2: Company Relevant Experience & Reference

- ➤ Provide details of a maximum of three (3) projects similar in scope and size to that being requested through this solicitation that your firm has completed recently. Details for each project example provided should include:
  - o Project Name
  - Project Address
  - Customer Name
  - Customer Contact Information
    - Point of contact Name, Phone, and Email
  - o Brief description of work provided.
  - o Initial costs of work

- o Final costs of work
- Number of change orders
- Total completion time (From Notice to Proceed to Final Invoice payment)
- ➤ When providing projects that demonstrate relevant experience, it is preferred that the CONSULTANT focus on the following categories:
  - o Optimization of wastewater treatment plant processes and operations
  - o Material Testing
  - o Wastewater treatment plant design
  - o Flows and loading model
- ➤ Provide a statement of understanding that your firm recognizes the County reserves the right to evaluate the proposing Firm on their past performance and prior dealings with Lee County (i.e., failure to meet specifications, poor workmanship, late delivery, etc.) as part of their experience criteria.

#### TAB 3: Firm Plan of Approach

➤ Provide a detailed Plan of Approach that explains how your firm intends to comply with and meet the anticipated deliverables as detailed within this solicitation.

#### **TAB 4: Personnel**

- Provide a detailed description of the firm's specific project management team, inclusive of sub-Consultants anticipated to be utilized, that will be assigned to the Lee County contract. Identify the roles and responsibilities of the primary team members as they pertain/apply to the Project Approach and include details that demonstrate individual's knowledge and understanding of the types of services to be performed as well as previous experience in similar or related work.
- Firm must identify staff member that will serve as Project Director that shall be authorized and responsible to act on behalf of the CONSULTANT with respect to directing, coordinating and administering all aspects of the services to be provided and performed.
- ➤ Provide a statement acknowledging your firm's understanding that the project management team/key team members assigned to the Lee County contract, as described above, shall not be substituted without the expressed permission of Lee County.
- ➤ Provide resumes, licensure, and certifications of proposed **specific** project management team, inclusive of sub-Consultants anticipated to be utilized, to be assigned to the Lee County contract.
  - \*Resumes are not included within page restrictions, but should be limited to one (1) page per person.\*

    \*Firms are encouraged to submit valid copies of MBE, WBE, DBE, VBE or similar certifications for adequate committee consideration."

#### **TAB 5: Required Forms**

➤ Forms 1-7

#### 2. SCORING CRITERIA & WEIGHT

| CRITERIA / TAB CRITERIA DESCRIPTION |   | MAX. POINTS |
|-------------------------------------|---|-------------|
| CMILMA/ IAD                         | CRITERIA / TAB CRITERIA DESCRIPTION     |             |
| 1                                   | QUALIFICATIONS OF FIRM                  | 15          |
| 2                                   | COMPANY RELEVANT EXPERIENCE & REFERENCE | 35          |
| 3                                   | FIRM PLAN OF APPROACH                   | 35          |
| 4                                   | PERSONNEL                               | 15          |
| TOTAL POINTS                        |   | 100         |

\*Additional details and documents found within submittal package, although not located within tabs as listed above, may be reviewed and considered by evaluation committee when scoring Proposers.

#### 3. RFP SUBMISSION SCHEDULE

| Submission Description                                | Date(s)                                      | Time             |
|---|--|------------------|
| Advertise Request for Proposal (RFP)                  | Tuesday, June 30, 2020                       | N/A              |
| Pre-Proposal Meeting                                  | Tuesday, July 7, 2020                        | 10:00 AM         |
| Proposal Question Deadline                            | 8 Calendar days prior to submission deadline | Prior to 5:00 PM |
| Submission Deadline                                   | Monday, August 3, 2020                       | Prior to 2:30 PM |
| First Committee Meeting & Discussion                  | Friday, August 28, 2020                      | TBD              |
| Notify Shortlist Selection via e-mail (If applicable) | Friday, September 4, 2020                    | N/A              |
| Final Scoring/Selection Meeting (If applicable)       | Tuesday, September 22, 2020                  | TBD              |
| Board Meeting   | Tuesday, November 3, 2020                    | 9:30 AM          |

#### Additional notes on Submission Schedule:

- Submission Schedule is provided as a guideline only and is subject to change at the discretion of Lee County authorized personnel.
- Changes in closing date or other parameters may occur and will be posted to the Lee County Procurement website. It shall be the responsibility of Contractor to verify all dates through County website.
- Unless otherwise stated, location of all openings and meetings will take place at 2115 Second Street, 1<sup>st</sup> Floor, Fort Myers, FL 33901.

End of Submittal Requirements & Evaluation Criteria Section

# FORMS DESCRIPTION & INSTRUCTIONS REQUEST FOR PROPOSAL CCNA

This table provides a brief list, description, and instructions regarding the standard requested forms that should be submitted with all bids or proposals. This is not intended to be an all-inclusive list of forms required for your submission, but rather a guide to assist in completion of the County's standard forms.

#### Form # Title/Description

#### 1 Solicitation Response Form

All signatures must be by a corporate authorized representative, witnessed, and corporate and/or notary seal (if applicable.) The corporate or mailing address must match the company information as it is listed on the Florida Department of State Division of Corporations. Attach a copy of the webpage(s) from <a href="http://www.sunbiz.org">http://www.sunbiz.org</a> as certification of this required information. Sample attached for your reference.

Verify that all addenda and tax identification number have been provided.

#### \* Business Relationship Disclosure Requirement

Sections 112.313(3) and 112.313(7), F.S., prohibit certain business relationships on the part of public officers and employees, their spouses, and their children. If this <u>disclosure is applicable, the Bidder must request the form entitled "INTEREST IN COMPETITIVE BID FOR PUBLIC BUSINESS"</u> (Required by § 112.313(12)(b), F.S.) to be completed and <u>returned with the Solicitation Response</u>. It is the Bidder's responsibility to request the form and disclose this relationship; failure to do so may result in being declared non-responsive.

NOTICE: UNDER THE PROVISIONS OF § 112.317, F.S., A FAILURE TO MAKE ANY REQUIRED DISCLOSURE CONSTITUTES GROUNDS FOR, AND MAY BE PUNISHED BY, ONE OR MORE OF THE FOLLOWING: IMPEACHMENT, REMOVAL OR SUSPENSION FROM OFFICE OR EMPLOYMENT, DEMOTION, REDUCTION IN SALARY, REPRIMAND, OR A CIVIL PENALTY NOT TO EXCEED \$10,000.00.

#### 2 Affidavit Certification Immigration Laws

Form is acknowledgement that the Proposer is in compliance in regard to Immigration Laws.

#### 3 Reference Survey

Provide this form to reference respondents. This form will be turned in with the proposal package.

- 1. **Section 1**: Bidder/Proposer to complete with <u>reference respondent's</u> information prior to providing to them for their response. (This is **not** the Bidder/Proposer's information.)
- 2. **Section 2**: Enter the name of the Bidder/Proposer; provide the project information in which the reference respondent is to provide a response.
- 3. The reference respondent should complete "Section 3."
- 4. **Section 4**: The reference respondent to print and sign name
- 5. Three (3) Reference responses are to be returned with the proposal package.
- 6. Failure to obtain reference surveys may make your company non-responsive.

#### 4 Negligence or Breach of Contract Disclosure Form

The form may be used to disclose negligence or breach of contract litigation that your company may be a part of over the past ten years. You may need to duplicate this form to list all history. If the Proposer has more than 10 lawsuits, you may narrow them to litigation of the company or subsidiary submitting the solicitation response. Include, at a minimum, litigation for similar projects completed in the State of Florida. Final outcome should include in whose favor the litigation was settled and was a monetary amount awarded. The settlement amount may remain anonymous.

If you have **no litigation, enter "None" in the first "type of incident" block** of the form. Please do not write N/A on this form.

5

#### Affidavit Principal Place of Business

Certifies Proposer's location information

#### 6 Sub-Contractor/Consultant List (if applicable)

To be completed and returned when sub-contractor/consultants are to be utilized and are known at the time of the submission.

#### 7 Public Entity Crimes Form

Any person or affiliate as defined by statute who has been placed on the convicted vendor list following a conviction for a public entity crime may not submit a bid or a contract to provide any goods or services to the County; may not submit a bid on a contract with the County for the construction or repair of a public building or public work; may not submit bids or leases of real property to the County; may not be awarded or perform works as a contractor, supplier, subcontractor, or consultant under a contract with the County, and may not transact business with the County in excess of \$25,000.00 for a period of 36 months from the date of being placed on the convicted vendor list.

## \* Proposal Label (Required)

Self-explanatory. Please affix to the outside of the sealed submission documents.

#### \* Include any licenses or certifications requested

Local Business Tax Account (as applicable) issued by City and/or County entity. This is necessary for all Florida vendors.

It is the Proposer's responsibility to ensure the Solicitation Response is mailed or delivered in time to be received no later than the specified <u>opening date and time</u>. (If solicitation is not received prior to deadline it cannot be considered or accepted.)

## Form 1 – Solicitation Response Form



# LEE COUNTY PROCUREMENT MANAGEMENT SOLICITATION RESPONSE FORM

| Date Submitted:  |   | Submission Deadline Date:  | 8/3/2020   |
|--|---|--|--|
| SOLICITATION IDENTIFICATION:   | CN200315JJB   |  |  |
| SOLICITATION NAME: Fort Myers Be   | each Water Recla                                    | amation Facility Master Plan   |  |
| COMPANY NAME:  |   |  |  |
| NAME & TITLE: (TYPED ORPRINTED)  |   |  |  |
| BUSINESS ADDRESS: (PHYSICAL)  CORPORATE OR MAILING ADDRESS:   SAME AS PHYSICAL   |   |  |  |
| ADDRESS MUST MATCH SUNBIZ.ORG  |   |  |  |
| E-Mail Address:  |   |  |  |
| PHONE NUMBER:  |   | FAX NUMBER:  |  |
| NOTE <b>REQUIREMENT</b> : IT IS THE <b>S PROCUREMENT MANAGEMENT</b> COUNTY WILL POST ADDENDA TO In submitting this proposal, Proposer m and represents that: Proposer has exam | WEB SITE FOR<br>THIS WEB PAC<br>akes all representa | ANY ADDENDA ISSUED FOR SE, BUT WILL <b>NOT NOTIFY</b> . ations required by the instruction | R THIS PROJECT. THE s to Proposer and further warrants |
| No Dated:  | -   |  | Dated:   |
| No Dated:  |   |  |  |
|  |   | mber -Or- (2) Social Security Nu   | umber:   |

\*\* Lee County collects your social security number for tax reporting purposes only

Please submit a copy of your registration <u>from the website www.sunbiz.org</u> establishing the Proposer/firm as authorized (including authorized representatives) to conduct business in the State of Florida, as provided by the *Florida Department of State*, *Division of Corporations*.

1 <u>Collusion Statement:</u> Lee County, Florida The undersigned, as Proposer, hereby declares that no person or other persons, other than the undersigned, are interested in this solicitation as Principal, and that this solicitation is submitted without collusion with others; and that we have carefully read and examined the specifications or scope of work, and with full knowledge of all conditions under which the services herein is contemplated must be furnished, hereby propose and agree to furnish this service according to the requirements set out in the solicitation documents, specifications or scope of work for said service for the prices as listed on the county provided price sheet or (CCNA) agree to negotiate prices in good faith if a contract is awarded.

#### 2 Scrutinized Companies Certification:

Section 287.135, FL §, prohibits agencies from contracting with companies, for goods or services over \$1,000,000, that are on either the Scrutinized Companies with Activities in Sudan List or the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List. Both lists are created pursuant to section 215.473, FL §.

As the person authorized to sign on behalf of Respondent, I hereby certify that the company identified above not listed on either the Scrutinized Companies with Activities in Sudan List or the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List. I understand that pursuant to section 287.135, FL §, the submission of a false certification may subject company to civil penalties, attorney's fees, and/or costs.

#### Form#1 - Solicitation Form, Page 2

Business Relationship Disclosure Requirement: Sections 112.313(3) and 112.313(7), FL §, prohibit certain business relationships on the part of public officers and employees, their spouses, and their children. See Part III, Chapter 112, FL § and/or the brochure entitled "A Guide to the Sunshine Amendment and Code of Ethics for Public Officers, Candidates and Employees" for more details on these prohibitions. However, Section 112.313(12), FL § (1983), provides certain limited exemptions to the above-referenced prohibitions, including one where the business is awarded under a system of sealed, competitive bidding; the public official has exerted no influence on bid negotiations or specifications; and where disclosure is made, prior to or at the time of the submission of the bid, of the official's or his spouse's or child's interest and the nature of the intended business. The Commission on Ethics has promulgated this form for such disclosure, if and when applicable to a public officer or employee. If this disclosure is applicable request form "INTEREST IN COMPETITIVE BID FOR PUBLIC BUSINESS" (Required by 112.313(12)(b), Florida Statute (1983)) to be completed and returned with solicitation response. It is the Proposer's responsibility to disclose this relationship, failure to do so could result in being declared nonresponsive. Business Relationship Applicable (request form) Business Relationship NOT Applicable Disadvantaged, Minority, Women, Veterans Business Enterprise (DBE, MBE, WBE, VBE) Proposer? If yes, please attach a current certificate. No Yes ALL PROPOSALS MUST BE EXECUTED BY AN AUTHORIZED AUTHORITY OF THE PROPOSER. WITNESSED AND SEALED (IF APPLICABLE) Company Name (Name printed or typed) (Affix Corporate Seal, if applicable) Authorized Representative Name (printed or typed) Authorized Representative's Title (printed or typed) Witnessed/Attested by: (Witness/Secretary name and title printed or typed) Authorized Representative's Signature Witness/Secretary Signature

Any blank spaces on the form(s), qualifying notes or exceptions, counter offers, lack of required submittals, or signatures, on County's Form may result in the submission being declared non-responsive by the County.

# Detail by Entity Name

## Florida Profit Corporation

Bill's Widget Corporation

#### Filing Information

Document Number 655555 FB/EIN Number 5111111111 Date Filed 09/22/1980 State FL Status ACTIVE

AMENDED AND RESTATED ARTICLES Last Event

Event Date Filed 07/25/2006 Event Effective Date NONE

#### Principal Address

555 N Main Street Your Town, USA 99999

Changed 02/11/2012

# Verify either Principal or Mailing

address is on Form 1

#### Mailing Address

555 N Main Street MYour Town, USA 99999 Changed 02/11/2012

#### Registered Agent Name & Address

My Registered Agent 111 Registration Road Registration, USA 99999

Name Changed: 12/14/2006

Address Changed: 12/14/2006

#### Officer/Director Detail

#### Name & Address

Title P

President, First 555 AVENUE Anytown, USA99999

President, Second 555 AVENUE Anytown, USA99999

For corporations, ALL documents must be signed by the president of the company or an authorized individual. For any individual other than the president, we will need one of the following to confirm their authority to sign:

a corporate resolution by the Board of Directors, or an extract of minutes, or an extract of Vote by the Board of Directors

If the company's articles of incorporation identify additional positions that have the power to bind the corporation, we will accept the articles of incorporation with verification from the president that a certain individual serves in that role (e.g., the president confirms that John Doe is the CEO, and the articles of incorporation provide that the CEO has the power to bind the company). With respect to an LLC, the authority to bind a limited liability company is controlled by Florida statutes. Managers or managing members have inherent authority to bind an LLC.

If the president of a corporation or a manager/managing member of an LLC delegates their authority, such delegation must be sent to us on company letterhead with the President's or manager's/managing member's original, wet signature.

v01/03/2018



## **AFFIDAVIT CERTIFICATION IMMIGRATION LAWS**

SOLICITATION NO.: CN200315JJB SOLICITATION NAME: Fort Myers Beach Water Reclamation Facility Master Plan

LEE COUNTY WILL NOT INTENTIONALLY AWARD COUNTY CONTRACTS TO ANY CONTRACTOR WHO KNOWINGLY EMPLOYS UNAUTHORIZED ALIEN WORKERS, CONSTITUTING A VIOLATION OF THE EMPLOYMENT PROVISIONS CONTAINED IN 8 U.S.C. SECTION 1324 a(e) {SECTION 274A(e) OF THE IMMIGRATION AND NATIONALITY ACT ("INA").

LEE COUNTY MAY CONSIDER THE EMPLOYMENT BY ANY CONTRACTOR OF UNAUTHORIZED ALIENS A VIOLATION OF SECTION 274A(e) OF THE INA. SUCH VIOLATION BY THE RECIPIENT OF THE EMPLOYMENT PROVISIONS CONTAINED IN SECTION 274A(e) OF THE INA SHALL BE GROUNDS FOR UNILATERAL CANCELLATION OF THE CONTRACT BY LEE COUNTY.

PROPOSER ATTESTS THAT THEY ARE FULLY COMPLIANT WITH ALL APPLICABLE IMMIGRATION LAWS (SPECIFICALLY TO THE 1986 IMMIGRATION ACT AND SUBSEQUENT AMENDMENTS).

|                         | Company Nam      | ne:                          |        |                                   |   |
|-------------------------|------------------|------------------------------|--------|-----------------------------------|---|
|                         | Signature        | Title                        |        | Date                              |   |
| STATE OF _<br>COUNTY OF |                  |                              |        |                                   |   |
|                         |                  | _                            | 20, by | e, by means of $\square$ physical | al presence or □ online<br>who has produced |
| (Type of Ident          | ification and Nu | _as identification.<br>mber) | (      | Print or Type Name)               |   |
| Notary Public           | Signature        |                              |        |                                   |   |
| Printed Name            | of Notary Public |                              |        |                                   |   |
| Notary Comm             | ission Number/E  | xpiration                    |        |                                   |   |

The signee of this Affidavit guarantee, as evidenced by the sworn affidavit required herein, the truth and accuracy of this affidavit to interrogatories hereinafter made. <u>LEE COUNTY RESERVES THE RIGHT TO REQUEST</u> <u>SUPPORTING DOCUMENTATION, AS EVIDENCE OF SERVICES PROVIDED, AT ANY TIME.</u>

## Lee County Procurement Management Reference Survey

Reference surveys submitted can be a maximum of twelve (12) months old. If using a previous reference, Proposers must clearly identify the project name and number the reference is being submitted for.

Project Name & Number:

| Section 1                     | Reference Respondent Information                          |                            |                | <b>Plea</b>           | se return c            | ompleted forr              | n to:       |                  |
|-------------------------------|---|----------------------------|----------------|-----------------------|------------------------|----------------------------|-------------|------------------|
| FROM:                         |   |                            | Bidde          | r/Proposer            | ••                     |                            |             |                  |
| <b>COMPANY:</b>               |   |                            | Due D          | ate:                  |                        |                            |             |                  |
| PHONE #:                      |   |                            | Total:         | # Pages:              | 1                      |                            |             |                  |
| <b>FAX</b> #:                 |   |                            | Phone          | #:                    |                        | Fax #:                     |             |                  |
| <b>EMAIL:</b>                 |   |                            | Bidder         | Proposer E            | -Mail:                 |                            |             |                  |
| Section 2                     | Enter Bidder/Proposer Information , if applicable         | le Similar Performed Proje | ect (Bidder/Pr | roposer to enter deta | ils of a project perfe | formed for above reference | e responden | t)               |
| Proposer Name:                |   |                            |                |                       |                        |                            |             |                  |
| Reference Project Name:       |   | Project Address:           |                |                       |                        | Project Cost:              |             |                  |
| Summarize                     |   |                            |                |                       |                        |                            |             |                  |
| Scope:                        |   |                            |                |                       |                        |                            |             |                  |
|                               |   |                            |                |                       |                        |                            |             |                  |
|                               |   |                            |                |                       |                        |                            |             |                  |
|                               | dual or your company ha                                   |                            | as a ref       | erence on             | the projec             | t identified a             | bove.       | Please           |
| provide your res<br>Section 3 | ponses in section 3 below                                 | •                          |                |                       |                        |                            | Indicate    | e: "Yes" or "No" |
|                               | company have the proper i                                 | resources and              | personr        | el by whic            | h to get the           | e iob done?                |             |                  |
|                               | y problems encountered w                                  |                            |                |                       |                        | J                          |             |                  |
|                               | y change orders or contrac                                |                            |                |                       |                        | inted?                     |             |                  |
|                               | job completed on time?                                    |                            | 188000,        | Other than            | Owner mit              | iaicu:                     |             |                  |
|                               | <u> </u>  |                            |                |                       |                        |                            |             |                  |
|                               | job completed within budg                                 |                            |                |                       | 11 1                   |                            |             |                  |
|                               | le of one to ten, ten being lance, considering profession |                            |                |                       |                        |                            |             |                  |
| periorina                     | nee, considering profession                               | nansin, imai p             | nouuci,        |                       |                        | 0 being highest)           |             |                  |
| 7. If the opp                 | portunity were to present it                              | self, would yo             | u rehire       | this comp             | any?                   |                            |             |                  |
| 8. Please pr                  | ovide any additional comn                                 | nents pertinen             | t to this      | company a             | and the wo             | rk performed               | for you     | a:               |
|                               |   |                            |                |                       |                        |                            |             |                  |
|                               |   |                            |                |                       |                        |                            |             |                  |
|                               |   |                            |                |                       |                        |                            |             |                  |
| Section 4 Pleas               | se submit non-Lee County e                                | emplovees as ro            | eference       | es                    |                        |                            |             |                  |
| 1 Tour                        |   | P-0, 000 ms 1              |                | -                     |                        |                            |             |                  |
| Reference Name (Print         |   |                            |                |                       |                        |                            |             |                  |
|                               |   |                            |                |                       |                        |                            |             |                  |
| Reference Signature           |   |                            |                |                       |                        |                            |             |                  |



# ALLEGED NEGLIGENCE OR BREACH OF CONTRACT DISCLOSURE FORM

Please fill in the form below. Provide each incident in regard to alleged negligence or breach of contract that has occurred over the past 10 years. Please compete in chronological order with the most recent incident on starting on page 1. Please do not modify this form (expansion of spacing allowed) or submit your own variation.

# **Company Name:**

| <b>Type of Incident</b> Alleged Negligence or Breach of Contract | Incident Date<br>And<br>Date Filed | Plaintiff<br>(Who took action<br>against your company) | Case Number        | Court<br>County/State    | Project                     | Claim Reason (initial circumstances) | Final Outcome<br>(who prevailed) |
|--|------------------------------------|--|--------------------|--------------------------|-----------------------------|--------------------------------------|----------------------------------|
|  |                                    |  |                    |                          |                             |                                      |                                  |
|  |                                    |  |                    |                          |                             |                                      |                                  |
|  |                                    |  |                    |                          |                             |                                      |                                  |
|  |                                    |  |                    |                          |                             |                                      |                                  |
|  |                                    |  |                    |                          |                             |                                      |                                  |
|  |                                    |  |                    |                          |                             |                                      |                                  |
| Make as many copi  | es of this sheet as                | necessary in order to <b>pr</b>                        | ovide a 10-year hi | <b>story</b> of the requ | uested information. If ther | e is no action pending or action ta  | aken in the las                  |

| · · · · · · · · · · · · · · · · · · · |                      |                               | ear history of the requested in                                 |                      | ·                     | _                             |
|---------------------------------------|----------------------|-------------------------------|---|----------------------|-----------------------|-------------------------------|
| years, complete the <b>comp</b> a     | any name and write   | e "NONE" in the first "Type o | of Incident" box of this page a                                 | nd return with you   | ir proposal package.  | This form should also include |
|                                       |                      |                               | your company as the plaintiff.                                  | . Final outcome s    | hould include who pr  | evailed and what method of    |
| settlement was made. If a             | monetary settleme    | nt was made the amount ma     | y remain anonymous.   |                      |                       |                               |
| Page Number:                          | Of                   | Total pages                   |   |                      |                       |                               |
| Update the page number t              | o reflect the curren | t page and the total number   | of pages. Example: Page 3, o                                    | of 5 total submitted | I pages of this form. |                               |
|                                       | •                    |                               | nce or Breach of Contract" on<br>ect procurement such that they |                      |                       | •                             |
| performance. This determ              | ination may be mad   | le by the Procurement Mana    | agement Director, after consul                                  | ting with the Cour   | ity Attorney.         |                               |
|                                       |                      |                               |   |                      |                       |                               |
|                                       |                      |                               |   |                      |                       |                               |



# **AFFIDAVIT PRINCIPAL PLACE OF BUSINESS**

Instructions: Please complete all information that is applicable to your firm

| Cor         | npany Name:  |                              |                    |   |
|-------------|--|------------------------------|--------------------|---|
| Printe      | d name of authorized signer Titl   | le                           |                    |   |
| ⇒<br>Autho  | orized Signature Dat   | te                           |                    |   |
| The<br>affi | e signee of this Affidavit guarantee, as evidenced by the swordavit to interrogatories hereinafter made. <u>LEE COUNTY RECUMENTATION</u> , AS EVIDENCE OF SERVICES PROVE | n affidavit requi            | RIGHT T            |   |
| The<br>not  | e foregoing instrument was signed and acknowledged be arization, thisday of20, as identification.  | efore me, by n<br>by(Print o | neans of E         | □ physical presence or □ onlinewho has produced ame)                |
| (Ту         | rpe of Identification and Number)  |                              |                    |   |
| Stat        | ary: e of unty of  |                              |                    |   |
| ⇒<br>Notar  | y Public Signature   | Notary Commissio             | n Number and e     | xpiration   |
| 1.          | Principal place of business is located within the boundaries of:   | S                            | Lee C Collie Non-I | er County   |
|             | Local Business Tax License #   |                              |                    |   |
| 2.          | Address of Principal Place of Business:  |                              |                    |   |
| 3.<br>4.    | Number of years at this location<br>Have you provided goods or services to Lee County on a<br>regular basis within the past 3 consecutive years                          | years<br>Yes*                | No                 | *If yes, attach contractual history for<br>past 3 consecutive years |
| 5.<br>6     | Number of available employees for this contract  Does your company have a Drug Free Workplace Policy   | <br>                         | No.                |   |

#### Form 6-Sub-contractor/consultant List



# SUB-CONTRACTOR/CONSULTANT LIST

| Sub-Contractor/Consultant<br>Company Name | Area Of Work | Point Of Contact<br>Or<br>Project Supervisor | Contact Info Phone or Email | Qualified<br>DBE, MBE, WBE,<br>VBE<br>or Similar | Amount or<br>Percentage of Total |
|---|--------------|--|-----------------------------|--|----------------------------------|
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |
|   |              |  |                             |  |                                  |

Please include sub-contractor/consultant name, area of work (i.e. mechanical, electrical, etc.) and a **valid** phone number and/or email. Also include the dollar value or percentage that the sub-contractor/consultant will be performing. If sub-contractor/consultant qualifies as a current certificate Florida Certified Business Enterprise such as MBE, WBE, DBE, VBE or similar please indicate such above and provide proof of certification.

# **Public Entity Crime Form**

This form must be signed and sworn to in the presence of a notary public or other officer authorized to administer oaths.

| 1. | This sworn statement is submitted to   |
|----|--|
|    | (Print name of the public entity)  |
|    | by   |
|    | (Print individual's name and title)  |
|    | for  |
|    | (Print name of entity submitting sworn statement)  |
|    | whose business address is  |
|    | (If applicable) its Federal Employer Identification Number (FEIN) is   |
|    | (If the entity has no FEIN, include the Social Security Number of the individual signing this sworr statement: On the attached sheet.) Required as per IRS Form W-9. |

- 2. I understand that a "public entity crime" as defined in Paragraph 287.133(1) (g), <u>Florida Statutes</u>, means a violation of any state or federal law by a person with respect to and directly related to the transaction of business with any public entity or with an agency or political subdivision of any other state or with the United States, including but not limited to, and bid or contract for goods or services to be provided to any public entity or agency or political subdivision or any other state or of the Unites States, and involving antitrust, fraud, theft, bribery, collusion, racketeering, conspiracy, or material misrepresentation.
- 3. I understate that "convicted" or "conviction" as defined in Paragraph 287.133(1) (b), Florida Statutes, means a finding of guilt or a conviction of a public entity crime, with or without an adjudication of guilt, in any federal or state trial court of record relating to charges brought by indictment or information after July 1, 1989, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere.
- 4. I understand that "affiliate" as defined in Paragraph 287.133(1)(a), Florida Statutes, means:
  - A predecessor or successor of a person convicted of a public entity crime: or:
  - 2. An entity under the control of any natural person who is active in the management of the entity and who has been convicted of a public entity crime. The term "affiliate" includes those offices, directors, executives, partners, shareholders, employees, members and agents who are active in the management of the affiliate. The ownership by one person of shares constituting a controlling interest in another person, or a pooling of equipment or income among persons when not fair market value under an arm's length agreement, shall be a facie case that one person controls another person. A person who knowingly enters into a joint venture with a person who has been convicted of a public entity crime in Florida during the preceding 36 months shall be considered an affiliate.
- 5. I understand that a "person" as defined in Paragraph 287.133(1) (c), <u>Florida Statutes</u>, means any natural person or entity organized under the laws of any state or of the United States with the legal power to enter a binding contract and which bids or applies to bid on contracts for the provision of goods or services let by a public entity, or which otherwise transacts or applies to transact business with a public entity. The term "person" includes those officers, directors, executives, partners, shareholders, employees, members, and agents who are active in management of the entity.
- 6. Based on information and belief, the statement which I have marked below is true in relation to the entity submitting those sworn statement. (*Please indicate which statement applies*.)
  - Neither the entity submitted this sworn statement, nor any officers, directors, executives, partners, shareholders, employees, members, and agents who are active in management of an entity nor affiliate of the entity have been charged with and convicted of a public entity crime subsequent to July 1, 1989.

#### Public Entity Crime Form

| shareholders, employees, member, or  | sworn statement, or one or more of the officers, directors, executives, partners agents who are active in management of the entity, or an affiliate of the entity have public entity crime subsequent to July 1, 1989.   |
|--|--|
| shareholders, employees, member, o<br>been charged with and convicted of a<br>proceeding before a Hearing Officer of | sworn statement, or one or more of its officers, directors, executives, partners ragents who are active in management of the entity, or an affiliate of the entity has public entity crime subsequent to July 1, 1989. However, there has been subsequen of the State of Florida, Division of Administrative Hearing and the Final Order entered at it was not in the public interest to place the entity submitting this sworn statement a copy of the final order) |
| ENTITY IDENTIFIED IN PARAGRAPH 1 (<br>IS VALID THROUGH DECEMBER 31 OF T<br>AM REQUIRED TO INFORM THE PUBLIC          | N OF THIS FORM TO THE CONTRACTING OFFICER FOR THE PUBLIC ONE) ABOVE IS FOR THAT PUBLIC ENTITY ONLY AND, THAT THIS FORM THE CALENDAR YEAR IN WHICH IS FILED. I ALSO UNDERSTAND THAT IS ENTITY PRIOR TO ENTERING INTO A CONTRACT IN EXCESS OF THE ECTION 287.017, FLORIDA STATUTES, FOR CATEGORY TWO OR ANY INED IN THIS FORM.   |
|  | (Signature)  |
| STATE OF   | (Date)   |
| COUNTY OF  |  |
|  | me, by means of $\square$ physical presence or $\square$ online notarization, thisdaywho has produced or Type Name)  |
| (Type of Identification and Number)  | _as identification.  |
| Notary Public Signature  |  |
| Printed Name of Notary Public  |  |
| Notary Commission Number/Expiration  |  |
|  |  |

# Cut along the outer border and affix this label to your sealed solicitation envelope to identify it as a "Sealed Submission/Proposal".

| Proposal Documents • DO NOT OPEN |  |  |  |  |
|----------------------------------|--|--|--|--|
| SOLICITATION<br>No.:             | CN200315JJB  |  |  |  |
| SOLICITATION TITLE:              | Fort Myers Beach Water Reclamation Facility<br>Master Plan |  |  |  |
| DATE DUE:                        | Monday, August 3, 2020                                     |  |  |  |
| TIME DUE:                        | Prior to: 2:30 PM  |  |  |  |
| SUBMITTED BY:                    |  |  |  |  |
|                                  | (Name of Company)  |  |  |  |
| e-mail address                   | Telephone  |  |  |  |
| DELIVER TO:                      | Lee County Procurement Management                          |  |  |  |
|                                  | 2115 Second Street, 1 <sup>st</sup> Floor                  |  |  |  |
|                                  | Fort Myers FL 33901  |  |  |  |



\*Notice: the Date Due/Submission Deadline Date/Opening Date as stated on this label and other forms contained herein may have been updated via issuance of Addenda against this project. It is the sole responsibility of the Contractor/Vendor to monitor the County project webpage for any updates to the Date Due/Submission Deadline Date/Opening Date via Addenda. This label nor other original forms may not be updated. Contractor/Vendor may strike through and update Date Due/Submission Deadline Date/Opening Date at their discretion to match any updates to this date that have been published via Addenda.

Submission received after the time and date of the Date Due/Submission Deadline Date/Opening Date will not be accepted at the sole discretion of the County.

# PLEASE PRINT CLEARLY