



Lee County
Southwest Florida

LEE COUNTY BOARD OF COUNTY COMMISSIONERS
HUMAN AND VETERAN SERVICES

American Rescue Plan Act

Sub-recipient Agreement

with

Early Learning Coalition of Southwest Florida Inc.

January 1, 2022 – December 31, 2024

FEDERALLY-FUNDED SUBAWARD AND FUNDING ASSISTANCE AGREEMENT

The following information is provided pursuant to 2 C.F.R. §200.331(a)(1):

Name of sub-recipient: **Early Learning Coalition of Southwest Florida Inc.**

SAM Organization Identifier: **CAFBZN877RT3**

DUNS #: **155799955**

ARPA Project Unique identifier: **ARPA-DC036A**

Federal Award Identification Number: **SLT-2390**

Federal Award Date: **June 14, 2021 (first payment/tranche received)**

Subaward Period of Performance: Start Date: **January 1, 2022**

Subaward Period of Performance: End Date: **December 31, 2024**

Total Amount Obligated by this Action: **\$3,000,000**

Total Federal Obligation by Lee County to Sub-recipient: (including this obligation) **\$3,000,000**

Total Federal Award Commitments by Lee County to Sub-recipient: **\$3,000,000**

Award is R&D: **NO**

Federal Award Program Description: On March 11, 2021, the American Rescue Plan Act (ARPA) was signed into law by the President. Section 9901 of ARPA amended Title VI of the Social Security Act (the Act) to add section 602, which establishes the Coronavirus State Fiscal Recovery Fund, and section 603, which establishes the Coronavirus Local Fiscal Recovery Fund (together, the Fiscal Recovery Funds). The Fiscal Recovery Funds are intended to provide support to state, local, and tribal governments (together, recipients) in responding to the impact of COVID-19 and in their efforts to contain COVID-19 on their communities, residents, and businesses. The Fiscal Recovery Funds build on and expand the support provided to these governments over the last year, including through the Coronavirus Relief Fund (C.R.F.).

The American Rescue Plan will deliver \$350 billion for state, local, territorial, and tribal governments to respond to the COVID-19 emergency and restore jobs. The Coronavirus State and Local Fiscal Recovery Funds provide a substantial infusion of resources to help turn the tide on the pandemic, address its economic fallout, and lay the foundation for a strong and equitable recovery. Recipients may use Coronavirus State and Local Fiscal Recovery Funds to:

- Support public health expenditures by funding COVID-19 mitigation efforts, medical expenses, behavioral healthcare, and certain public health and safety staff;
- Address negative economic impacts caused by the public health emergency, including economic harms to workers, households, small businesses, impacted industries, and the public sector;
- Replace lost public sector revenue by using this funding to provide government services to the extent of the reduction in revenue experienced due to the pandemic;
- Provide premium pay for essential workers by offering additional support to those who have borne and will bear the greatest health risks because of their service in critical infrastructure sectors; and,
- Invest in water, sewer, and broadband infrastructure by making necessary investments to improve access to clean drinking water, to support vital wastewater and stormwater infrastructure, and to expand access to broadband internet.

Federal awarding agency: **U.S. Department of Treasury**

Pass-through entity: **Lee County, FL**

CFDA number: **21.027**

CFDA name: **Coronavirus State and Local Fiscal Recovery Funds (CSLFRF)**

R&D designation: **Non R&D**

Should you have any question about this sub-award, please contact: **Glen Salyer, Assistant County Manager at 239-533-2221**

As required by Federal Regulations and the terms and conditions of this award, the applicant agrees to complete and sign this document to ensure that they are eligible for any future COVID-19 funding from Lee County. This also includes that the Sub recipient agrees to report any fraud, waste or abuse of these funds to Lee County Administration.

SUBRECIPIENT CONTRACT BETWEEN
THE LEE BOARD OF COUNTY COMMISSIONERS
AND EARLY LEARNING COALITION OF SOUTHWEST FLORIDA INC.

THIS AGREEMENT is entered into by Lee County, a charter county and political subdivision of the State of Florida, herein referred to as COUNTY and **Early Learning Coalition of Southwest Florida Inc.** whose address is **2675 Winkler Ave, Fort Myers, Florida 33901**, herein referred to as SUBRECIPIENT.

RECITALS

WHEREAS, Lee County is a body corporate and politic established under the Florida Constitution and the Laws of Florida, and is authorized to, among other things, accept and administer grants from State and Federal authorities to enhance the quality of life in Lee County; and

WHEREAS, Congress passed the American Rescue Plan Act (ARPA) on March 10, 2021 and President Biden signed the American Rescue Plan Act into law on March 11, 2021; and

WHEREAS, the American Rescue Plan Act, in part, amends the Social Security Act (42 U.S.C. 601) by establishing the Fund in the amount of \$350 billion dollars for payments to States, Tribal governments and units of local government based on their populations.

WHEREAS, Lee County accepted American Rescue Plan Act funding from the United States Department of the Treasury; and

WHEREAS, this Agreement is consistent with American Rescue Plan Act guidelines to respond to the public health emergency or its negative economic impacts; and

WHEREAS, the SUBRECIPIENT requests and the COUNTY agrees, to provide funding to the SUBRECIPIENT for eligible expenditures under the American Rescue Plan Act, specifically pursuant to the terms and conditions specified herein relating to COVID-19; and

NOW, THEREFORE, in consideration of the mutual covenants, promises, and representations contained in this Agreement and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

Section 1. Recitals

The foregoing recitals are true and correct and form a material part of this Agreement upon which the Parties relied.

Section 2. Term

This Agreement is effective on _____ and ends on December 31, 2024 unless terminated earlier in accordance with this Agreement.

The Subaward Period of Performance is from January 1, 2022 and ends on December 31, 2024.

The Subaward Budget Period is from January 1, 2022 and ends on December 31, 2024.

Section 3. American Rescue Plan Act Funding

- a) The American Rescue Plan (ARP) Act, Section 603(c)(1) of the Social Security Act, established the \$350 billion Coronavirus State and Local Fiscal Recovery Funds. The United States Department of Treasury made payments from the Fund to States and eligible units of local government. The American Rescue Plan Act requires that payments from the Coronavirus State and Local Fiscal Recovery Fund (CSLFRF) only be used to cover expenses that: (a) To respond to the public health emergency or its negative economic impacts, including assistance to households, small businesses, and nonprofits, or aid to impacted industries such as tourism, travel, and hospitality; (b) To respond to workers performing essential work during the COVID-19 public health emergency by providing premium pay to eligible workers; (c) For the provision of government services to the extent of the reduction in revenue due to the COVID-19 public health emergency relative to revenues collected in the most recent full fiscal year prior to the emergency; and (d) To make necessary investments in water, sewer, or broadband infrastructure.
- b) For the purposes this Agreement, the COUNTY serves as the pass-through entity for a Federal award and the SUBRECIPIENT serves as the recipient of a sub award. This Agreement is entered into based on the following representations:
1. The SUBRECIPIENT represents that it is fully qualified and eligible to receive these grant funds per the funding requirements.
 2. The COUNTY received these funds from the Federal government, and the COUNTY has the authority to sub grant these funds to the SUBRECIPIENT upon the terms and conditions outlined below.
 3. The COUNTY has authority to disburse the funds under this Agreement.

The COUNTY agrees to provide financial assistance to the SUBRECIPIENT in an amount not-to-exceed **\$3,000,000**. The SUBRECIPIENT must use this financial assistance for expenses eligible under 603(c)(1) of the Social Security Act, specifically the Coronavirus State and Local Fiscal Recovery Fund (CSLFRF) to mitigate financial hardships incurred because of COVID-19 during the Term. These funds must be spent in accordance with the guidance on the United States Treasury's website <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/state-and-local-fiscal-recovery-funds>. SUBRECIPIENTS are responsible for ensuring that any procurement using CSLFRF funds, or payments under procurement contracts using such funds are consistent with the procurement standards set forth in the Uniform Guidance at 2 CFR 200.317 through 2 CFR 200.327, and Appendix II to Part 200, as applicable.

- c) SUBRECIPIENT is required to review the United States Treasury's website for updates to ensure compliance with the most updated CSLFRF guidance.
- d) For each SUBRECIPIENT, the COUNTY will assess the risk to successfully fulfilling the project objective pertaining to this agreement. The results of subrecipient risk assessments will have an effect on the frequency and level of scrutiny during the monitoring process and may result in additional requirements being imposed on the SUBRECIPIENT.
- e) The SUBRECIPIENT must comply with 2 CFR 200 for accounting standards and cost principles.
- f) The SUBRECIPIENT must comply with COUNTY rules and 2 CFR 200 for conflicts of interest.

- g) The SUBRECIPIENT shall be responsible for indirect cost associated with this grant.
- h) SUBRECIPIENT acknowledges that it has read, understands, will be bound by and agrees to have carried out, shall carry out, or cause to be carried out the terms, conditions, and services as described in the agreement attachments, including:
1. ATTACHMENT A: PROJECT DETAILS – Overview (Need and Response), eligible activities.
 2. ATTACHMENT B: SCOPE OF WORK – Description of the SUBRECIPIENT's and the COUNTY's task, deliverables, timelines, and milestones. Additional United States Treasury scope requirements may be identify and required after the execution of this agreement.
 3. ATTACHMENT C: PROJECT BUDGET – Summary of the project's annual budget by expense category and budget justification by category.
 4. ATTACHMENT D: REPORTING REQUIREMENTS – Description of the reporting requirements. Additional United States Treasury reporting requirements may be identify and required after the execution of this agreement.
 5. ATTACHMENT E: EQUITY-BASED REQUIREMENT – Description of the project's equitable design and implementation by addressing the program's equity goals, awareness, access and distribution, and outcomes.
 6. ATTACHMENT F: EVIDENCE-BASED REQUIREMENT – Description of the supporting evidence or evidence-producing strategy related the project selection, design, and implementation.
 7. ATTACHMENT G: PAYMENT DRAWDOWN REQUEST
 8. Award Payment:
 - a. SUBRECIPIENT agrees to the ATTACHMENT G: PAYMENT DRAWDOWN SCHEDULE - All payments made under this Agreement shall be on an advance payment basis. These monies are from CFDA 21.027. The initial payment shall be made according to the payment schedule. Thereafter, payments will be made according to the schedule and request of payment, based on the anticipated grant Subawards to grant candidates.
 - b. Requests for payment must include a certification, signed by an official who is authorized to legally bind the SUBRECIPIENT, which reads as follows:

By signing this request, I certify to the best of my knowledge and belief that the request is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the agreement. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729–3730 and 3801–3812).

- c. The COUNTY shall verify all documentation received prior to expending Funds under this Agreement and may request additional documentation, if needed. Payments will be made for expenditures that are eligible under the CSLFRF. The COUNTY retains the right to deny any requests for Funds under this Agreement if in the COUNTY'S sole discretion the request is not for and documentation does not substantiate an eligible expenditure. However, the COUNTY'S provisional determination that an expenditure is eligible does not relieve the SUBRECIPIENT of its duty to repay the COUNTY for any expenditures that are later determined by the COUNTY or the Federal government to be ineligible.
- d. COUNTY shall not be liable to any vendor, supplier or subcontractor for any expenses or liabilities incurred in connection with any Project and SUBRECIPIENT shall be solely liable for such expenses and liabilities.
- e. SUBRECIPIENT acknowledges that the COUNTY intends to award a portion of the CSLFRF funding to SUBRECIPIENT, and further acknowledges that the CSLFRF funding may be utilized only for the uses authorized by American Rescue Plan Act. Accordingly, SUBRECIPIENT covenants that the use of the CSLFRF funding by SUBRECIPIENT pursuant to this Agreement is limited to only those uses for which the CSLFRF funding may be utilized under American Rescue Plan Act.
- f. SUBRECIPIENT will retain any equipment purchased with CSLFRF funding through December 31, 2026.

Section 4. Enforcement

SUBRECIPIENT certifies that the information provided is complete, accurate, and current demonstrating SUBRECIPIENT'S eligibility to receive the Funds. SUBRECIPIENT is liable for recapture of Funds if any representation made in the reimbursement requests, payment requests, reporting or supporting documentation is at any time false or misleading in any respect, or if SUBRECIPIENT is found in non-compliance with laws, rules or regulations governing the use of the Funds provided pursuant to this Agreement. The provisions of this Section 4 shall survive the termination of this Agreement.

Section 5. Recapture of Expenses

- A. Any funds that are not expended as authorized under this Agreement must be refunded to the COUNTY within fourteen (14) days of receipt of written notice provided by the COUNTY.
- B. Any funds that are not expended within the anticipated timeframe under this Agreement are subject to recapture. If requested, a refunded to the COUNTY must be made within fourteen (14) days of receipt of written notice for a refund provided by the COUNTY.
- C. The COUNTY'S determination that an expenditure is eligible does not relieve the SUBRECIPIENT of its duty to repay the COUNTY in full for any expenditures that are later determined by the COUNTY or the Federal Government, in each of its sole discretion, to be ineligible expenditures or the discovery of a duplication of benefits.
- D. If requested by the COUNTY, all refunds, return of improper payments, or repayments due to the COUNTY under this Agreement are to be made payable to Lee County and mailed directly to the COUNTY pursuant to Section 18 Notice and this Agreement.

Section 6. Maintenance and Review of Records

SUBRECIPIENT shall maintain all records and accounts, including property, personnel and financial records, contractual agreements, memoranda of understanding, subcontracts, proof of insurance, and any other records related to or resulting from the Agreement to assure a proper accounting and monitoring of all funds awarded and shall maintain all accounts pertaining to such services, including, but not limited to, property, personnel and financial records, and supporting documentation, and any additional records required as a result of or associated with the utilization of the CSLFRF funding as outlined in the United States Treasury Compliance and Reporting Guidance, State and Local Fiscal Recovery Funds, or as maybe amended, which, among other things, shall enable ready identification of SUBRECIPIENT'S cost of goods and use of funds. If any litigation, claim, negotiation, audit, monitoring, inspection or other action has been started before the expiration of the required record retention period, records must be retained until completion of the action and resolution of all issues that arise from it, or the end of the required period, whichever is later.

With respect to all matters covered by this Agreement, records will be made available for examination, audit, inspection or copying purposes at any time during normal business hours and as often as COUNTY may require. SUBRECIPIENT will permit same to be examined and excerpts or transcriptions made or duplicated from such records, and audits made of all contracts, invoices, materials, records of personnel and of employment and other data relating to all matters covered by this Agreement.

The SUBRECIPIENT must maintain records and financial documents in compliance with all standards in the ARPA CSLFRF guidance and 2 CFR 200. Generally, records and financial documents must be maintained for five years after all funds have been expended or returned. The COUNTY or Treasury may request transfer of records of long-term value at the end of such period. Wherever practicable, such records should be collected, transmitted, and stored in open and machine-readable formats.

SUBRECIPIENT must agree to provide or make available such records to the COUNTY upon request, to Treasury upon request, and to the Government Accountability Office ("GAO"), Treasury's Office of Inspector General ("OIG"), and their authorized representative in order to conduct audits or other investigations.

The COUNTY may access the SUBRECIPIENT records and financial statements as necessary to conduct monitoring activities.

Section 7. Monitoring

The SUBRECIPIENT agrees to permit persons duly authorized by the COUNTY, the Federal or State grantor agency (if applicable) or any representatives to inspect all records, papers, documents, facility's goods and services of the SUBRECIPIENT and/or interview any clients and employees of the SUBRECIPIENT to be assured of satisfactory performance of the terms and conditions of this contract to the extent permitted by the law after giving the SUBRECIPIENT reasonable notice. The monitoring is a limited scope review of the contract and agency management and does not relieve the SUBRECIPIENT of its obligation to manage the grant in accordance with applicable rules and sound management practices.

Following such monitoring, the COUNTY will deliver to the SUBRECIPIENT a written report regarding the manner in which services are being provided. The SUBRECIPIENT will rectify all noted deficiencies within the specified period of time indicated in the monitoring report or provide the COUNTY with a reasonable and acceptable justification for not correcting the noted shortcomings. The SUBRECIPIENT'S failure to correct or justify the deficiencies within the time specified by the COUNTY may result in the withholding of payments, being deemed in breach or default, or termination of this contract.

Section 8. Audits

A. The COUNTY may perform an audit of the records of the SUBRECIPIENT at any time during the Term of this Agreement and after final disbursements have been made, even if the Agreement has expired or terminated. Audits may be performed at a time mutually agreeable to the SUBRECIPIENT and the COUNTY. When conducting an audit of the SUBRECIPIENT'S performance under this Agreement, the COUNTY must use Generally Accepted Government Auditing Standards ("GAGAS"). As defined by 2 C.F.R. §200.50, GAGAS, also known as the Yellow Book, means generally accepted government auditing standards issued by the Comptroller General of the United States, which are applicable to financial audits.

B. If an audit shows that all or any portion of the Funds disbursed were not spent in accordance with the conditions of and strict compliance with this Agreement, the SUBRECIPIENT will be held liable for reimbursement to the COUNTY of all Funds not spent in accordance with these applicable regulations and this Agreement, within fourteen (14) days after the COUNTY has notified the SUBRECIPIENT of such non-compliance.

C. If the COUNTY elects to have the SUBRECIPIENT perform an audit, the SUBRECIPIENT must have all audits completed by an independent auditor, which is defined in § 215.97(2)(i), Florida Statutes, as "an independent certified public accountant licensed under chapter 473." The independent auditor must state that the audit complied with the applicable provisions noted above. The audits must be received by the COUNTY no later than six (6) months from the end of the SUBRECIPIENT'S fiscal year.

D. The SUBRECIPIENT must send copies of reporting packages required under this paragraph directly to the COUNTY in accordance with Section 18 Notice.

E. Single Audit Requirements. SUBRECIPIENTS, that expend more than \$750,000 in Federal awards during their fiscal year will be subject to an audit under the Single Audit Act and its implementing regulation at 2 C.F.R. Part 200, Subpart F regarding audit requirements.

Section 9. Closeout

SUBRECIPIENT will comply with all closeout procedures of the awards, to include full compliance with the agreement terms and conditions, ARPA, CSLFRF rule and guidance, and 2 CFR 200. Key tasks will be closeout communications, confirmation for maintenance of records and financial documents, receipt of all final reimbursement requests or payment requests, receipt of all financial reports and performance reports, fulfillment of any requests to reconcile reports and payment requests.

Section 10. Indemnification

SUBRECIPIENT shall indemnify, hold harmless, and defend COUNTY from and against any and all liabilities, losses, claims, damages, demands, expenses or actions, either at law or in equity, including court costs and attorneys' fees (at the trial and all appellate levels), that may hereafter at any time be made or brought by anyone on account of personal injury, property damage, loss of monies, or other loss, allegedly caused or incurred, in whole or in part, as a result of any negligent, wrongful, or intentional act or omission, or based on any act of fraud or defalcation or breach of any provision or covenant of this Agreement or applicable law by the SUBRECIPIENT, its agents, subcontractors, assigns, heirs, and employees resulting from or arising under this Agreement.

The provisions of this Section 11 shall survive the termination of this Agreement.

Section 11. Termination

This Agreement may be terminated by the SUBRECIPIENT or the COUNTY at any time, with Cause or without Cause, upon not less than thirty (30) days prior written notice delivered to the SUBRECIPIENT as provided for in this Agreement or, at the option of COUNTY, immediately in the event that SUBRECIPIENT fails to fulfill any of the terms, understandings, or covenants of this Agreement. COUNTY will not be obligated to pay for costs incurred by SUBRECIPIENT after SUBRECIPIENT has received notice of termination. When an award is terminated, or partially terminated, the SUBRECIPIENT is still responsible for compliance with 2 CFR 200.

Section 12. Remedies

The COUNTY may exercise any other rights or remedies, which may be available under law. If the COUNTY waives any right or remedy in this Agreement or fails to insist on strict performance by the SUBRECIPIENT, it will not affect, extend or waive any other right or remedy of the COUNTY, or affect the later exercise of the same right or remedy by the COUNTY for any other default by the SUBRECIPIENT.

Section 13. Equal Opportunity; Non-Discrimination

SUBRECIPIENT shall comply with the requirements of all applicable federal, state and local laws, rules, regulations, ordinances and executive orders prohibiting and/or relating to discrimination, as amended and supplemented. All of the aforementioned laws, rules, regulations, and executive orders are incorporated herein by reference.

Section 14. Governing Laws; Venue

This Agreement and terms and conditions shall be governed by the laws, rules, and regulations of the State of Florida, and venue shall be in Lee County, Florida.

Section 15. Public Records Law

This Agreement, including attachments, is subject to disclosure under Florida's public records law subject to limited applicable exemptions. SUBRECIPIENT acknowledges, understands, and agrees that, except as noted below, all information in its application and attachments will be disclosed, without any notice to SUBRECIPIENT, if a public records request is made for such information, and the COUNTY will not be liable to SUBRECIPIENT for such disclosure. Social security numbers are collected, maintained and reported by the COUNTY must comply with IRS 1099 reporting requirements and are exempt from public records pursuant to Florida Statutes §119.071.

If SUBRECIPIENT believes that information in the Agreement, including attachments, contains information that is confidential and exempt from disclosure, SUBRECIPIENT must include a general description of the information and provide reference to the Florida Statute or other law which exempts such designated information from disclosure in the event a public records request is made. The COUNTY does not warrant or guarantee that information designated by SUBRECIPIENT as exempt from disclosure is in fact exempt, and if the COUNTY disagrees, it will make such disclosures in accordance with its sole determination as to the applicable law.

IF THE SUBRECIPIENT HAS QUESTIONS REGARDING THE APPLICATION OF CHAPTER 119, FLORIDA STATUTES, TO THE SUBRECIPIENT'S DUTY TO

PROVIDE PUBLIC RECORDS RELATING TO THE CONTRACT, CONTACT THE CUSTODIAN OF PUBLIC RECORDS AT 239-533-2221, 2115 SECOND STREET, FORT MYERS, FL 33901, <http://www.leegov.com/publicrecords>.

Section 16. Independent Contractor

SUBRECIPIENT acknowledges that it is acting as an independent contractor and not as an agent, officer or employee of COUNTY. In no event shall any provision of this Agreement make COUNTY liable to any person or entity that contracts with or provides goods or services to SUBRECIPIENT in connection with this Agreement. There is no contractual relationship, either express or implied, between COUNTY or any political subdivision of the State of Florida and any person or entity supplying any work, labor, services, goods or materials to SUBRECIPIENT as a result of this Agreement.

Section 17. Compliance with Applicable Laws

SUBRECIPIENT shall comply with the requirements of all applicable federal, state and local laws and the rules and regulations promulgated thereunder, including, but not limited to, Florida's Public Records Act, Chapter 119, Florida Statutes and specifically including, but not limited to ARPA rules and guidance and 2 CFR 200.

Section 18. Notice

Any notice delivered with respect to this Agreement must be in writing and will be deemed to be delivered (whether or not actually received) when (1) hand delivered to the persons designated below, or (2) when deposited in the United States Mail, postage prepaid, certified mail, return-receipt requested, addressed to the person at the address for the party as set forth below, or such other or to such other person as the Party may have specified by written notice to the other Party delivered according to this section:

As to COUNTY:

Roger Desjarlais
County Manager
PO Box 398
Fort Myers, FL 33902

As to SUBRECIPIENT:

Early Learning Coalition of Southwest Florida Inc.
Susan Block, CEO
2675 Winkler Ave.
Fort Myers, Florida 33901

Section 19. Risk Management

A. Hold Harmless and Indemnity Clause

To the fullest extent permitted by applicable law, SUBRECIPIENT shall protect, defend, indemnify, save and hold the COUNTY, the BoCC, its agents, officials, and employees harmless from and against any and all claims, demands, fines, loss or destruction of property, liabilities, damages, for claims based on the negligence, misconduct, or omissions of the SUBRECIPIENT

resulting from the SUBRECIPIENT'S work as further described in this contract and its attachments, which may arise in favor of any person or persons resulting from the SUBRECIPIENT'S performance or non-performance of its obligations under this contract except any damages arising out of personal injury or property claims from third parties caused solely by the negligence, omission(s) or willful misconduct of the COUNTY, its officials, commissioners, employees or agents, subject to the limitations as set out in Florida general law, Section 768.28, Florida Statutes, as amended from time to time. Further, SUBRECIPIENT hereby agrees to indemnify the COUNTY for all reasonable expenses and attorney's fees incurred by or imposed upon the COUNTY in connection therewith for any loss, damage, injury, liability or other casualty. SUBRECIPIENT additionally agrees that the COUNTY may employ an attorney of the COUNTY's own selection to appear and defend any such action, on behalf of the COUNTY, at the expense of the SUBRECIPIENT. The SUBRECIPIENT further agrees to pay all reasonable expenses and attorney's fees incurred by the COUNTY in establishing the right to indemnity.

The SUBRECIPIENT further agrees that it is responsible for any and all claims arising from the hiring of individuals relating to activities provided under the contract. All individuals hired are employees of the SUBRECIPIENT and not of the COUNTY.

B. Insurance Requirements

Insurance – Nonprofit SUBRECIPIENTS

The SUBRECIPIENT agrees to secure and maintain the insurance coverage outlined below during the term of this contract. The SUBRECIPIENT agrees that this insurance requirement shall not relieve or limit SUBRECIPIENT'S liability and that the COUNTY does not in any way represent that the insurance required is sufficient or adequate to protect the SUBRECIPIENT'S interests or liabilities, but are merely minimums. It is the responsibility of the SUBRECIPIENT to insure that all subcontractors comply with the insurance requirements.

Certificate(s) of Insurance *naming Lee Board of County Commissioners as Certificate Holder and additional insured* will be attached to this contract as an exhibit. Name and address for Certificate Holder should be: Lee Board of County Commissioners, P.O. Box 398, Fort Myers, FL 33902. Certificate(s) must be provided for the following coverage's at the time of contract execution and upon policy renewal. Renewal certificates are due to the COUNTY on or before expiration date.

1. Workers' Compensation– Statutory benefits as defined by Florida Statute 440 encompassing all operations contemplated by this contract or agreement to apply to all owners, officers, and employees. Employers' liability will have minimum limits of:
 - \$100,000 per accident
 - \$500,000 disease limit
 - \$100,000 disease limit per employee
2. Commercial General Liability – Coverage shall apply to premises and/or operations, products and/or completed operations, independent contractors, contractual liability, and broad form property damage exposures with minimum limits of:
 - \$500,000 bodily injury per person (B.I.)
 - \$1,000,000 bodily injury per occurrence (B.I.)
 - \$500,000 property damage (PD) or
 - \$1,000,000 combined single limit (C.S.L.) of B.I. and P.D.

The General Liability Policy Certificate shall name "Lee County, a political subdivision and Charter County of the State of Florida, its agents, employees, and public officials" as "Additional Insured". The SUBRECIPIENT agrees that the coverage granted to the Additional Insured applies on a primary basis, with the Additional Insured's coverage being excess.

3. Business Auto Liability – The following Automobile Liability will be required and coverage shall apply to all owned, hired, and non-owned vehicles used with minimum limits of:
\$100,000 bodily injury per person (B.I.)
\$300,000 bodily injury per occurrence (B.I.)
\$100,000 property damage (PD) or
\$300,000 combined single limit (C.S.L.) of B.I. and P.D.
4. Directors & Officers Liability – Entity coverage to cover claims against the organization directly for wrongful acts with limits not less than \$100,000.
5. Fidelity Bonding – Covering all employees who handle the agency's funds. The bond amount must be equivalent to the highest daily cash balance or a minimum amount of \$50,000.

Insurance – Government/Municipality

Documentation of the above coverage requirements are not applicable to government/municipalities that are self-insured.

Section 20. Disclaimer of Third Party Beneficiaries

This Agreement is made for the sole benefit of the Parties of this Agreement and their respective successors and assigns, and is not intended to and will not benefit any third party. No third party will have any rights under this Agreement, because of this Agreement or any right to enforce any provisions of this Agreement.

Section 21. Dispute Resolution

In the event of a dispute related to any performance or payment obligation arising under this Agreement, the Parties shall exhaust COUNTY administrative dispute resolution procedures prior to filing a lawsuit or otherwise pursuing legal remedies.

In the event that COUNTY administrative dispute resolution procedures are exhausted, either Party to this Agreement may notify the other Party in writing that it wishes to commence formal dispute resolution with respect to any unresolved problem under this Agreement. The Parties agree to submit the dispute to a Florida Certified Circuit Court Civil Mediator for mediation, within sixty (60) days following the date of this notice. In the event that any dispute cannot be resolved by mediation, the dispute may be filed as a civil action in the Circuit Court of the Twentieth Judicial Circuit of Florida, in and for Lee County, Florida, which is the sole venue for any such civil action.

Section 22. Assignment

This Agreement may not be assigned nor subcontracted in whole or in part without the prior written consent of the COUNTY.

Section 23. Headings

Article headings have been included in the Agreement solely for the purpose of convenience and shall not affect the interpretation of any of the terms of this Agreement.

Section 24. Survivability

Any term, condition, covenant or obligation which requires performance by either party subsequent to termination of this Agreement shall remain enforceable against such party subsequent to such termination.

Section 25. Modifications

This writing embodies the entire agreement and understanding between the parties hereto and there are no other agreements and/or understandings, oral or written, with respect to the subject matter hereof, that are not merged herein and superseded hereby. This Agreement may only be amended or extended by a written instrument executed by the COUNTY and the SUBRECIPIENT expressly for that purpose.

Section 26. Entire Agreement

It is understood and agreed that the entire agreement of the Parties is contained in this Agreement, which supersedes all oral agreements, negotiations, and previous agreements between the Parties relating to the subject matter of this Agreement.

Any alterations, amendments, deletions, or waivers of the provisions of this Agreement will be valid only when expressed in writing and duly signed by the Parties, except as otherwise specifically provided in this Agreement.

IN WITNESS WHEREOF, the SUBRECIPIENT and the COUNTY respectively, have caused this Agreement to be executed by their duly authorized representatives.

SUBRECIPIENT: Early Learning Coalition of Southwest Florida Inc.

BY: Marshall T. Bower 3-2-22
Signature Date
Marshall T. Bower
Name (print)

ATTEST:
CLERK OF CIRCUIT COURT

BOARD OF COUNTY COMMISSIONERS
OF LEE COUNTY, FLORIDA

BY: _____

BY: _____
Chair

APPROVED AS TO FORM FOR THE
RELIANCE OF LEE COUNTY ONLY

County Attorney's Office

Lee County Human and Veteran Services

CONTRACT EXHIBITS & ATTACHMENTS

EXHIBITS (Required Reports/Documentation):

- | | |
|---|--------------------------|
| <input checked="" type="checkbox"/> EXHIBIT 1 | PAYMENT REQUEST |
| <input checked="" type="checkbox"/> EXHIBIT 6 | CERTIFICATE OF INSURANCE |

ATTACHMENTS

- | | |
|--|----------------------------|
| <input checked="" type="checkbox"/> ATTACHMENT A | PROJECT DETAILS |
| <input checked="" type="checkbox"/> ATTACHMENT B | SCOPE OF WORK |
| <input checked="" type="checkbox"/> ATTACHMENT C | PROJECT BUDGET |
| <input checked="" type="checkbox"/> ATTACHMENT D | REPORTING REQUIREMENTS |
| <input checked="" type="checkbox"/> ATTACHMENT E | EQUITY-BASED REQUIREMENT |
| <input checked="" type="checkbox"/> ATTACHMENT F | EVIDENCE-BASED REQUIREMENT |
| <input checked="" type="checkbox"/> ATTACHMENT G | PAYMENT DRAWDOWN REQUEST |

I. ATTACHMENT A: PROJECT DETAILS

Background: Healthy Childhood Environments: Child Care

The **SUBRECIPIENT** will administer the **COUNTY's** Coronavirus State and Local Fiscal Recovery Funds, CSLFRF, for grants to childcare providers to implement solutions that will respond to COVID-19 for American Rescue Plan Act, ARPA, expense category: EC3- Services to Disproportionately Impacted Communities; Healthy Childhood Environments: Child Care.

Project Description

The focus of the ELC projects is within the “Healthy Childhood Environments: Child Care” with a focus upon “new, expanded, or enhances school readiness services”.

Background: Need and Response

Prior to the beginning of the COVID-19 Pandemic in March 2020, limited childcare capacity made it difficult for the families of Lee County to locate high quality childcare. Since that time, the conditions have worsened. Currently, the allocation for qualifying families to receive childcare subsidy through the state’s School Readiness program is adequate to pull all families from the wait list, but frequently they are unable to find a place to enroll their child due to lack of capacity

Gaps in the availability of high-quality childcare is documented through the Florida index of Childcare Access (FLICCA 4.0, <https://ceecs.education.ufl.edu/work/the-sunshine-portal/flicca>). It is further supported by anecdotal data collected by the ELC when referring families for childcare. The Early Learning Coalition of Southwest Florida (ELC) has made a concerted effort for the past 18 months to recruit new childcare providers to contract with the ELC and to convince existing programs to expand their capacity to serve increase numbers of children. This effort has had limited success. The primary and pervasive challenge facing childcare providers is a lack of teaching staff which directly limits the ability to expand capacity.

The ELC effort to expand capacity will be focused on building a high-quality infrastructure where childcare providers have stronger business practices and demonstrate higher quality competencies (ie, Gold Seal Accreditation and increased CLASS Scores). Strategies for recruitment and retention will be a part of the infrastructure improvement plan for each provider. The infusion of resources to create greater childcare capacity in high-quality program will follow infrastructure improvements and demonstrated program quality.

Project Design

Childcare providers are under tremendous stress currently. The pandemic has created numerous challenges for operations. To begin the grants work, the ELC will solicit ideas of how best to help providers during this period through written surveys and online focus groups. This outreach will provide provider-identified strategies to support quality improvement and capacity building initiatives.

The ELC will identify high-need areas where capacity is most limited. Providers will be recruited to participate in the grant, starting in those areas of high need. Those from the highest need areas will receive priority to receive technical assistance and resources as participants in the grant. Once identified, providers will be invited to complete a needs assessment and application to a pilot version of this grant program. An ELC staff member, will review the application and needs assessment with the provider to prioritize the items most directly related to building high quality childcare capacity. The spending priorities recommended by ELC staff member are approved by the ELC Grant Coordinator and then, by the Chief Quality Officer.

Upon completion of the initial pilot, the ELC will refine its needs assessment, application, on-boarding, and approval process. The pilot project will be evaluated and refined as informed by pilot program evaluation and staff recommendations. Once refinements are completed, a new cohort of providers will be invited to participate. Needs assessment, application, onboard, and approval will be repeated as each

new cohort group is invited to participate in the grant. At the conclusion of each cohort., an evaluation will be completed.

Eligible Activities

Eligible activities for this grant project include the following:

ELC Staff activities: 1) ELC Administrative Costs (not to exceed 5% of the total grant); 2) Grants Coordination; 3) technical assistance and training

Program Expenses: 1) Funding to support program accreditation toward Gold Seal 2) Business training for early learning professionals 3) technology assistance and materials 4) materials (as allowed by ARP guidelines) required by DCF resulting in expanded capacity 4) training and technical supports

II. Eligible Activities

A. Expense Category

Project(s) direct services must follow the restrictions from U.S Treasury rules and fit within the ARPA expense categories: **3.6 Healthy Childhood Environments: Child Care*** ^

* Denotes areas where recipients must identify the amount of the total funds that are allocated to evidence-based interventions.

^ Denotes areas where recipients must report on whether projects are primarily serving disadvantaged communities.

B. Back-up Project Eligible Use Justification:

31 CFR 35.6(b)(3)(ii)(A)(3) (B) and (C) Final Rule p. 418-421

(ii) Responding to the negative economic impacts of the public health emergency for purposes including:

(A) Assistance to households and individuals, including:

(3) Childcare, early learning services, home visiting, or assistance for child welfare-involved families or foster youth;

(B) Assistance to small businesses, including:

(1) Programs, services, or capital expenditures that respond to the negative economic impacts of the COVID-19 public health emergency, including loans or grants to mitigate financial hardship such as declines in revenues or impacts of periods of business closure, or providing technical assistance; and

(2) A program, service, capital expenditure, or other assistance that responds to disproportionately impacted small businesses, including rehabilitation of commercial properties; storefront and façade improvements; technical assistance, business incubators, and grants for start-ups or expansion costs for small businesses; and programs or services to support micro-businesses;

(C) Assistance to nonprofit organizations including programs, services, or capital expenditures, including loans or grants to mitigate financial hardship such as declines in revenues or increased costs, or technical assistance;

See also pp. 94-96 of the Final Rule, which states:

8. Promoting healthy childhood environments. The interim final rule included programs and services that promote healthy childhood environments as an enumerated eligible use for disproportionately impacted households. The interim final rule listed three programs or services included under this use: childcare; programs to provide home visits by health professionals, parent educators, and social service professionals to individuals with young children to provide education and assistance for economic support, health needs, or child development; and services for child welfare-involved families and foster youth to provide support and education on child development, positive parenting, coping skills, or recovery for mental health and substance use. The interim final rule also included an enumerated eligible use for early learning services in disproportionately impacted communities, to address disparities in education.

Treasury Response: Childcare and Early Learning Services: Treasury agrees with commenters' analysis that challenges accessing or affording childcare have been

widespread during the pandemic, affecting many jurisdictions and populations across the country. Disruptions to early care and learning services similarly have had broad impact and likely result in negative impacts for young children and their parents. **As such, these enumerated eligible uses are generally responsive to the negative economic impacts of the pandemic in all communities, not just in disproportionately impacted communities. Under the final rule, childcare and early learning services are available to impacted households or classes of households, not just those disproportionately impacted. These eligible uses can include new or expanded services, increasing access to services, efforts to bolster, support, or preserve existing providers and services, and similar activities.**

Additional Information: Based on the determination by HHS for ARPA Stabilization Funds, the SUBRECIPIENT may distribute funds to childcare providers as beneficiaries of the grant (instead of subrecipients).

See bottom of page 3/top of page 4 at the following link: [American Rescue Plan \(ARP\) Act Child Care Stabilization Funds Frequently Asked Questions \(FAQs\) \(hhs.gov\)](https://www.hhs.gov/arp/act/child-care-stabilization-funds-frequently-asked-questions)

Q: Are child care stabilization subgrants considered "payments made to beneficiaries of a federal program," as described in 45 CFR 75.2, similar to direct child care subsidies paid under the voucher program? Or are these considered "sub-recipients"?

A: Child care stabilization subgrants included in the ARP Act are benefits to a child care provider and are considered payments made to beneficiaries of a federal program, which is the same as with child care subsidies paid under the voucher program. Providers receiving stabilization subgrants are not categorized as "sub-recipients" as defined at 45 CFR 75.2. The Office of Child Care (OCC) notes that in cases where the stabilization subgrants are being awarded to qualified child care providers through intermediaries, those intermediaries are sub-recipients administering as subaward, and, as such, would be subject to rules that apply to sub-recipients, including those related to obtaining a DUNS number or UEI. (45 CFR 75.2)

ATTACHMENT B: SCOPE OF WORK

Description of the SUBRECIPIENT's and the COUNTY's task, deliverables, timelines, and milestones. Additional United States Treasury scope requirements may be identify and required after the execution of this agreement.

1. Task: Project Plan

The SUBRECIPIENT, in collaboration with COUNTY staff, will develop a project plan for the grant project. The **SUBRECIPIENT** and the **COUNTY** shall agree upon each project plan phase before implementing each phase.

The project plan should include the sections below assembled as a single searchable digital document to the extent possible. Each section should be presented in sufficient detail to provide a reasonable definition, explanation of the sections, and content. When a document section cannot be assembled as part of the single master document, the section should be described and cited in the master document and prepared as an appendix to the master. The sections listed below may be detailed as separate items in this scope of work.

Components:

- Program Logic Model and Description (goal statement, inputs, activities, outputs, outcomes)
- Process Model and Description (process steps)
- Evidence-based Documentation;
- Equity-based Documentation;
- Program Policy and Procedures;
- Staffing Plan that includes a list of key staff, qualifications and special qualification requirements.

2. Task: Project Budget

The SUBRECIPIENT will maintain a Project Budget and Financial Accounting System. The SUBRECIPIENT will develop and maintain a project budget summary that shows annual and quarterly proposed obligated and actual expenses.

Deliverables:

- Annual and Quarterly Project Budget Updates
- Monthly Expense Worksheet updates to COUNTY

3. Task: Expense Tracking and supporting documents

The SUBRECIPIENT will utilize a financial accounting system for tracking project expenditures. The accounting system should demonstrate compliance for grant expense tracking. After the first drawdown, all payment requests will include expense tracking to document how the award dollars were allocated.

Deliverables:

Records of expenses and supporting documents

4. Task: Payment Drawdown Requests

As necessary, the SUBRECIPIENT, will issue to the COUNTY a Payment Drawdown Request.

Proof of compliance must be included with back-up/supporting documentation.

The Payment Request must be submitted with an authorized signature.

SUBRECIPIENTS are responsible for ensuring that any procurement using CSLFRF funds, or payments under procurement contracts using such funds are consistent with the procurement standards

set forth in the Uniform Guidance at 2 CFR 200.317 through 2 CFR 200.327, and Appendix II to Part 200, as applicable.

Deliverables:

Payment drawdown requests and supporting documents

5. Task: Administer the Grant Project to Childcare Providers

Administer the grant project to childcare providers

- a. The SUBRECIPIENT will develop and implement a project design with a description of eligible spending and provider eligibility in compliance with ARPA, CSLFRF (this may be a Notice of Funding Available).
- b. The SUBRECIPIENT shall develop the needs assessment anhigh need, where additional resources/investment are needed to expand capacity of children served, elevate program quality, and to generate positive benefits for children and families.
- c. The SUBRECIPIENT will develop and implement transparent process for receiving and confirm the receipt of applications.
- d. The SUBRECIPIENT will document the review process to prioritize and award CSLFRF, ARPA funding.
- e. The SUBRECIPIENT will maintain records of all submissions.
- f. The SUBRECIPIENT will document the process to notify awardees, execute funding agreements, and distribute the beneficiary awards. When possible, the SUBRECIPIENT should use Electronic Funds Transfer as the method of payment in compliance with Electronic Funds Transfer Act.

Deliverables:

- Notice of Available Funding (NOFA) if applicable
- The SUBRECIPIENT must include statements to ensure childcare providers are aware that they can not duplicate benefits received by ARPA, CSLFRF and other funding sources.
- Application
- Program Policy and Procedures: including eligibility requirements, case/file management process, tracking of assistance provided

6. Task: Evidence-Based Planning

The **SUBRECIPIENT** will provide the evidence-based or evidence-producing model and methodology for this project as outlined in **Attachment F – Evidence-Based Projects**. The U.S. Treasury requires that program funds be used to support evidence-based or evidence-producing interventions.

The SUBRECIPIENT should cite the source of evidence. The project narrative should explain how the proposed project models the identified evidence-based projects. If the project is evidence-producing, explain the methodology used to collect and evaluate project effectiveness.

Deliverables:

Evidence-Based Project Plan

7. Task:Equity Outcomes Based Planning

The **SUBRECIPIENT** evaluate and ensure that their projects are designed with "equity in mind", as defined in **Attachment D and E**. The U.S. Treasury encourages the use of funds that promote robust and equitable economic opportunity and equity for disproportionately affected groups and communities.

The SUBRECIPIENT will provide a narrative explaining how the program was designed with equity-minded focus for disproportionately affected groups and communities.

Deliverables:

Equity Based Planning Document

8. Task: Project Closeout

SUBRECIPIENT will comply with all closeout procedures of the awards, to include full compliance with the agreement terms and conditions, ARPA, CSLFRF rule and guidance, and 2 CFR 200. Key tasks will be closeout communications, confirmation for maintenance of records and financial documents, receipt of all final reimbursement requests or payment requests, receipt of all financial reports and performance reports, fulfillment of any requests to reconcile reports and payment requests.

Deliverables:

- Submittal of all performance and financial reports and records as required
- Plan for records retention

ATTACHMENT C: Project Budget and Expenses Worksheet

Use the template below to provide a projected project budget to execute your project. The same template should be used for reporting obligations and expended funds. Budget should be submitted as a spreadsheet document.

Budget Category	Description	Projected Cost	Obligated Exp.	Expended Exp.	Balance
Budget Date: From January 1, 2022 to December 31, 2022					
Project Specific Revenue					
Grants from Government	American Rescue Plan	1,000,000			
Total Income					
Expenses					
Salaries	Grants Coord \$25,000 admin DEC \$10,000 Program ECS (*3) \$40,000 program Admin \$25,000 Accounting/Admin	\$100,000			
Employee Benefits	Employee benefit actuals	\$20,000			
Insurance (non-personnel)					
Payroll Taxes					
Professional Fees	Business Leadership Institute - 80 Programs per year @ \$5000 each and Technoogy classes 80 programs at \$750 year	460,000			
Supplies	Program Supplies and Materials - Needs Assessment	320,000			
Telephone/Internet					
Postage & Shipping					
Occupancy (Building, Grounds)					
Equipment, Technology & Maintenance	80 laptops, 80 scanners = approximately \$600 per provider	48,000			
Printing, Publications, Marketing					
Travel/Transportation	Local Mileage - approximately \$200 per provider	16,000			
Training and Development	Staff Development, Business Leadership Institute \$5000 x 4 Staff (DEC & ECS-3)	20,000			
Conferences & Meetings					
Assistance paid-out for Individuals					
Organization Dues Paid, Professional Services	NAEYC, NAFCC Membership 200	16,000			
Awards & Grants Paid-out					
Miscellaneous Expenses					
Total Expense From January 1, 2022 to December 31, 2022		1,000,000			

Budget Category	Description	Projected Cost	Obligated Exp.	Expended Exp.	Balance
Budget Date: From January 1, 2023 to December 31, 2023					
Project Specific Revenue					
Grants from Government	American Rescue Plan	1,000,000			
Total Income					
Expenses					
Salaries	Grants Coord \$25,000 admin DEC \$10,000 Program ECS (*3)	\$100,000			

	\$40,000 program Admin \$25,000 Accounting/Admin				
Employee Benefits	Employee benefit actuals	\$20,000			
Insurance (non-personnel)					
Payroll Taxes					
Professional Fees	Business Leadership Institute - 80 Programs per year @ \$5000 each and Technoogy classes 80 programs at \$750 year	460,000			
Supplies	Program Supplies and Materials - Needs Assessment	320,000			
Telephone/Internet					
Postage & Shipping					
Occupancy (Building, Grounds)					
Equipment, Technology & Maintenance	80 laptops, 80 scanners = approximately \$600 per provider	48,000			
Printing, Publications, Marketing					
Travel/Transportation	Local Mileage - approximately \$200 per provider	16,000			
Training and Development	Staff Development, Business Leadership Institute \$5000 x 4 Staff (DEC & ECS-3)	20,000			
Conferences & Meetings					
Assistance paid-out for Individuals					
Organization Dues Paid, Professional Services	NAEYC, NAFCC Membership 200	16,000			
Awards & Grants Paid-out					
Miscellaneous Expenses					
Total Expense From January 1, 2023 to December 31, 2023		1,000,000			

Budget Category	Description	Projected Cost	Obligated Exp.	Expended Exp.	Balance
Budget Date: From January 1, 2024 to December 31, 2024					
Project Specific Revenue					
Grants from Government	American Rescue Plan	1,000,000			
Total Income					
Expenses					
Salaries	Grants Coord \$25,000 admin DEC \$10,000 Program ECS (*3) \$40,000 program Admin \$25,000 Accounting/Admin	\$100,000			
Employee Benefits	Employee benefit actuals	\$20,000			
Insurance (non-personnel)					
Payroll Taxes					
Professional Fees	Business Leadership Institute - 80 Programs per year @ \$5000 each and Technoogy classes 80 programs at \$750 year	460,000			
Supplies	Program Supplies and Materials - Needs Assessment	320,000			
Telephone/Internet					
Postage & Shipping					
Occupancy (Building, Grounds)					
Equipment, Technology & Maintenance	80 laptops, 80 scanners = approximately \$600 per provider	48,000			

Printing, Publications, Marketing					
Travel/Transportation	Local Mileage - approximately \$200 per provider	16,000			
Training and Development	Staff Development, Business Leadership Institute \$5000 x 4 Staff (DEC & ECS-3)	20,000			
Conferences & Meetings					
Assistance paid-out for Individuals					
Organization Dues Paid, Professional Services	NAEYC, NAFCC Membership 200	16,000			
Awards & Grants Paid-out					
Miscellaneous Expenses					
Total Expense From January 1, 2024 to December 31, 2024		1,000,000			

Total Income	American Rescue Plan	3,000,000			
Total Expenses		3,000,000			
Surplus/Deficit		0			

ATTACHMENT D: REPORTING REQUIREMENTS (Example)

Below is an example of information that will be requested. There may be additional reporting requirements that the US Treasury requires or Lee County identifies during the project.

1. Equity: Describe how you ensure that your program is designed and implemented with equity in mind for disproportionately affected populations. Additional Information – ATTACHMENT E: EQUITY-BASED REQUIREMENT
2. Community Engagement: Describe your communication, outreach, and engagement plan to make participants and the community aware of your project.
3. Evidence Based or Evidence Producing: If the project is an **evidence-based** practice, identify the source(s), the level of evidence, and explain how this project incorporates this principle. Reporting due for SUBRECIPIENT and per Subaward Subrecipient project as applicable.

If the project is an **evidence-producing** practice, identify related source(s) of your hypothesis and state your hypothesis clearly. Outline how evidence will be collected to validate that it presents as evidence-producing.

Additional Information – ATTACHMENT F: EVIDENCE-BASED REQUIREMENT

See Treasury's Compliance and Reporting Guidance State and Local Fiscal Recovery Fund, <https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf>

4. One-time Reporting

Organization Name
Business Type (IRS classification)
Nonprofit type (IRS classification)
IRS Determination Letter (if applicable, for nonprofits)
Tax ID #
DUNS #
SAMS ID
Address (Physical)
Address Line 2
City, State, ZIP Code
Name of Organization's Main Contact
Phone (Main contact)
Email (Main contact)
Name of Person Responsible for this project.
Phone (Project contact)
Email (Project contact)
Organization Website Url
Provide a SHORT summary of your organization's mission.
Number of employees in Lee County?
Board member roster (Required for nonprofits.)
IRS Form 990 or equivalent (Required for nonprofits.)
Statement of Profit & Loss (Most recent year ended profit & loss comparative to prior year; not the auditor's report.) (Required for nonprofits.)
Audit Report (Most recent audit if available) (Required for nonprofits)
Single Audit Report (if applicable)
Agency Budget (Your most recent year-ended profit & loss compared to budget). (Required for nonprofits).
Provide a demographics summary of service for this project for the previous year. Summary will show your organization's capability to track service by demographics and your service

volumes. Summary may include # served by: geographic areas of the county, total served from Lee County, totals by age, race, ethnicity, etc. (If available for nonprofits).
Copies of your policies and practices of internal controls related to the program expenditure of program funds, record management (5-years required) (Required for nonprofits).
Attach your statement of compliance with Title VI of the Civil Rights Act of 1964.
Certificate of Insurance

5. Quarterly Reporting: All data must be completed in HMIS, within 10 business days after the reporting period ends at <https://csnlee.com/hmis/>

Schedule:

Reporting Periods	Notes
January 1 – March 31, 2022	Due 10 working days after end of quarter
April 1 – June 30, 2022	
July 1 – September 30, 2022	
October 1 – December 31, 2022	
January 1 – March 31, 2023	
April 1 – June 30, 2023	
July 1 – September 30, 2023	
October 1 – December 31, 2023	
January 1 – March 31, 2024	
April 1 – June 30, 2024	
July 1 – September 30, 2024	
October 1 – December 31, 2024	

A. Expenditure Summary

How much of the grant has been expended during this quarter?
Cumulative expenditure
How much of the grant has been obligated but not expended this quarter?
Cumulative obligation

Each recipient shall also provide detailed obligation and expenditure information for any contracts and grants awarded, loans issued, transfers made to other government entities, and direct payments made by the recipient that are greater than \$50,000.
• Subrecipient identifying and demographic information (e.g., DUNS number and location)
• Award number (e.g., Award number, Contract number, Loan number)
• Award date, type, amount, and description
• Award payment method (reimbursable or lump sum payment(s))
• Primary place of performance
• Related project name(s)
• Related project identification number(s) (created by the recipient)
• Period of performance start date
• Period of performance end date
• Quarterly obligation amount
• Quarterly expenditure amount

B. Disadvantaged Communities Summary

How much of the grant has been expended to serve disadvantaged communities through program or service that is provided at a physical location in a Qualified Census Tract (for multi-site projects, if a majority of sites are within Qualified Census Tract);
How much of the grant has been expended to serve disadvantaged communities through program or service where the primary intended beneficiaries live within a Qualified Census Tract;
How much of the grant has been expended to serve disadvantaged communities through program or service for which the eligibility criteria are such that the primary intended beneficiaries earn less than 60 percent of the median income for the relevant jurisdiction (e.g., State, county, metropolitan area, or other jurisdiction); or
How much of the grant has been expended to serve disadvantaged communities through program or service for which the eligibility criteria are such that over 25 percent of intended beneficiaries are below the federal poverty line.

C. Performance Success Summary

<p>Project Status: Choice</p> <ul style="list-style-type: none"> • Not Started • Completed less than 50 percent • Completed 50 percent or more • Completed
Provide a success story or summary of successes from this program that can be shared publicly. Always protect the privacy of beneficiaries.
<p>Provide a report of key outputs for the past period [between ____ and ____].</p> <ul style="list-style-type: none"> • Number of childcare providers assisted by project • Number of childcare seats added by providers • Number of childcare seats added in high-need areas • Number of childcare providers participating in professional development • Turnaround time for families who receive School Readiness funding (greater availability) • ARPA required measure: Number of children served by childcare and early learning (Pre-school/Pre-K/ages 3-5)
<p>Provide a report of key outcomes for the past period [between ____ and ____].</p> <ul style="list-style-type: none"> • Increased availability of childcare • School Readiness families have options for childcare when they are called from ELC waiting list and can enroll their child(ren) immediately. • School Readiness providers are high quality as evidenced by increased CLASS scores and accreditation (Gold Seal)
<p>Estimated Demographic Data: Treasury encourages recipients to provide data disaggregated by race, ethnicity, gender, income, and other relevant factors. Please supply data currently collected through existing registration process. Do not provide any personally identifying information.</p>

EXHIBIT 6
CERTIFICATES OF INSURANCE

Insert Certificates of Insurance naming
Lee County Board of County Commissioners

as

Certificate Holder

Name and address for Certificate Holder should be:
**Lee County Board of County Commissioners,
P.O. Box 398, Fort Myers, FL 33902.**

as required in Section 18 B of the Contract, for the following
policies:

- ☒- Worker's Compensation
- ☒- General Liability
- ☒- Business Auto Liability
- ☒- Directors & Officers Liability
- ☒- Fidelity Bonding

The General Liability Policy Certificate must name

***"Lee County, a political subdivision and Charter
County of the State of Florida, its agents, employees,
and public officials"***

as

"Additional Insured"



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

1/25/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Sihle Insurance Group, Inc. 1300 S. Woodland Blvd. DeLand FL 32720	CONTACT NAME: Certificates Dept PHONE (A/C, No, Ext): 407-869-0962 E-MAIL ADDRESS: certificates@sihle.com FAX (A/C, No): 407-389-3580												
INSURED Early Learning Coalition of SW Florida, Inc 2675 Winkler Avenue Ste. 300 Ft Myers FL 33901	INSURER(S) AFFORDING COVERAGE <table><tr><td>INSURER A: Philadelphia Indemnity Insurance Company</td><td>NAIC # 18058</td></tr><tr><td>INSURER B: Twin City Fire Insurance Company</td><td>29459</td></tr><tr><td>INSURER C: Great American Insurance Company</td><td>16691</td></tr><tr><td>INSURER D: Western Surety Company</td><td>13188</td></tr><tr><td>INSURER E: StarStone Specialty Insurance Company</td><td>44776</td></tr><tr><td>INSURER F: Axis Insurance Company</td><td>37273</td></tr></table>	INSURER A: Philadelphia Indemnity Insurance Company	NAIC # 18058	INSURER B: Twin City Fire Insurance Company	29459	INSURER C: Great American Insurance Company	16691	INSURER D: Western Surety Company	13188	INSURER E: StarStone Specialty Insurance Company	44776	INSURER F: Axis Insurance Company	37273
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INSURER D: Western Surety Company	13188												
INSURER E: StarStone Specialty Insurance Company	44776												
INSURER F: Axis Insurance Company	37273												

COVERAGES**CERTIFICATE NUMBER:** 1384731441**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	Y		PHPK2362316	2/12/2022	2/12/2023	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 \$
A	<input type="checkbox"/> AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY			PHPK2362316	2/12/2022	2/12/2023	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
E	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 10,000			78204G218ALI	7/1/2021	7/1/2022	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 \$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/>	N/A	21WECZJ3487	2/12/2022	2/12/2023	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 100,000 E.L. DISEASE - EA EMPLOYEE \$ 100,000 E.L. DISEASE - POLICY LIMIT \$ 500,000
C D F	Directors & Officers/EPLI Fidelity Cyber Lia			EPP1817626 70847355 P 001 000042859 03	7/1/2021 12/17/2021 11/18/2021	7/1/2022 12/17/2024 11/18/2022	D&O/EPLI Aggregate 1,000,000 Fidelity Limit 50,000 Cyber Lia 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Lee County, a political subdivision and Charter County of the State of Florida, its agents, employees, and public officials are Additional Insureds in regard to the General Liability policy when required by written contract.

CERTIFICATE HOLDER**CANCELLATION**

Lee County Board of County Commissioners
PO Box 398
Ft. Myers FL 33902

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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ATTACHMENT E: EQUITY-BASED REQUIREMENT

Below is an example of information that will be requested. There may be additional reporting requirements that the US Treasury requires or Lee County identifies during the project.

Equity: Describe how you ensure that your program is designed and implemented with equity in mind for disproportionately affected populations. Address the following:

- a. Goals: Are there particular historically underserved, marginalized, or adversely affected groups that you intend to serve within your jurisdiction?
- b. Awareness: How do you market the program to Lee County residents with equity in mind? How equal and practical is the ability for residents or businesses to become aware of the services funded by the SLFRF?
- c. Access and Distribution: Are there differences in levels of access to benefits and services across groups? Are there administrative requirements that result in disparities in ability to complete applications or meet eligibility criteria?
- d. Outcomes: Are intended outcomes focused on closing gaps, reaching universal levels of service, or disaggregating progress by race, ethnicity, and other equity dimensions where relevant for the policy objective?

ATTACHMENT F: EVIDENCE-BASED REQUIREMENT

SUBRECIPIENTS must briefly describe the goals of the project, and the evidence base for the interventions funded by the project.

- a) Demonstrate that the intervention is implemented as a program evaluation, see OMB M-20-12. "Recipients are exempt from reporting on evidence-based interventions in cases where a program evaluation is being conducted. Criteria requires the recipient to:

Required

- i. describe the evaluation design including whether it is a randomized or quasi experimental design;
- ii. state the key research questions being evaluated;
- iii. describe whether the study has sufficient statistical power to disaggregate outcomes by demographics;
- iv. post the evaluation publicly and link to the completed evaluation in the Recovery Plan;
- v. describe the timeframe for the completion of the evaluation (including a link to completed evaluation if relevant)
- vi. after sufficient evidence of efficacy has been provided, determine whether the spending for the evaluated interventions should be counted towards the dollar amount categorized as evidence-based for the relevant project
- vii. Recipient may be selected to participate in a nation evaluation which would study the project along with similar projects.

Encouraged

- viii. Consider how a Learning Agenda, either narrowly focused on SLFRF or broadly focused on the recipient's broader policy agenda, could support their overarching evaluation efforts in order to create an evidence-building strategy for their jurisdiction. See OMB M-19-23
- b) Strong Evidence-based interventions- Is the intervention, based on a "well-designed and well-implemented experimental studies conducted on the proposed program with positive findings on one or more intended outcomes".
- i. If yes, identify the program as "Strong Evidence Based", cite the study, summarize the findings, and provide the associations to the COUNTY program.
- c) Moderate evidence-based interventions – the intervention is based on one or more quasi-experimental studies with positive findings on one or more intended outcomes OR two or more non-experimental studies with positive findings on one or more intended outcomes.
- d) Preliminary evidence – the intervention is based on conclusions drawn from a non-experimental study which demonstrates improvement in program beneficiaries over time on one or more intended outcomes OR an implementation (process evaluation) study used to learn and improve program operations would constitute preliminary evidence. Examples of research that meet the standards include: (1) outcome studies that track program beneficiaries through a service pipeline and measure beneficiaries' responses at the end of the program. (2) pre- and post-test research that determines whether beneficiaries have improved on an intended outcome.

ATTACHMENT G: PAYMENT DRAWDOWN SCHEDULE

The COUNTY agrees to pay drawdown amounts requested by SUBRECIPIENT when these milestones are met.

Fund Drawdown Milestones Schedule		
	Payment Request Process: SUBRECIPIENT to request drawdown payment with signed certification	
	Project Milestone	Description of Amount
I.	Subrecipient Agreement is executed by the BoCC	Budgeted Expenses for 2022.
II.	In preparation for 2023 expenses, the SUBRECIPIENT must be up to date with all required reporting to the COUNTY and submit with the payment request the back-up for expense items to support proof of compliance: <ul style="list-style-type: none"> • Expense tracking and back-up for to 2022 budget lines to date • Updated budget (if applicable) 	Budgeted Expenses for 2023.
III.	In preparation for 2024 expenses, the SUBRECIPIENT must be up to date with all required reporting to the COUNTY and submit with the payment request the back-up for expense items to support proof of compliance: <ul style="list-style-type: none"> • Expense tracking and back-up for to 2022-2023 budget lines to date • Updated budget (if applicable) 	Budgeted Expenses for 2024.
	TOTAL BUDGET	\$3,000,000

PAYMENT REQUEST Line Item Contract

Human and Veteran Services 2440 Thompson Street Ft. Myers, FL 33901 submit by E-Mail: LMoisan@leegov.com Phone: 239-533-2901 FAX: 239-533-7960	Contract No. _____ Program: ARPA Contract Term: _____ Reporting Period: ____/____/____ - ____/____/____ Check appropriate line: <input type="checkbox"/> Regular Reimbursement <input type="checkbox"/> Final Reimbursement	Agency: <u>Early Learning Coalition of Southwest Florida</u> Mailing Address: <u>2675 Winkler Avenue</u> <u>Fort Myers, FL 33901</u> Phone: <u>(239) 935-6165</u> FAX: _____ E-mail: <u>Susan.Hock@leegov.org</u> ARPA Project ID: <u>ARPA-DC036A</u>
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Reports are due by the twentieth calendar day after the end of the reporting period.

A. Approved Budget Categories	B. Approved Annual Budget Amount	C. Balance Forward end of prior month	D. Total Paid Expenditures for Reporting Period	E. Remaining Balance End of Reporting Period (Col. C-D)
	\$ -	\$ -		\$ -
Total:	\$ -	\$ -	\$ -	\$ -

<p>PROVIDER:</p> <p style="text-align: right;">By</p> <p>signing this request, I certify to the best of my knowledge and belief that the request is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the reimbursement. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).</p> <p><i>Signature of</i> Authorized Official: _____</p> <p><i>Date approved:</i> _____</p>	<p style="text-align: center;">FOR LEE COUNTY USE ONLY</p> <p>By signing below, I certify that to the best of my knowledge and abilities, the work and/or services provided have been inspected, monitored or reviewed and appear to be in compliance with all applicable statutes, regulations, and approved County contract.</p> <p>AUTHORIZED BY: _____</p> <p>APPROVED AMOUNT: \$ _____</p> <p>DATE APPROVED: _____</p>
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