

**Lee County Board Of County Commissioners  
Agenda Item Summary**

Blue Sheet No. 20050545

April 15, 2005

**1. ACTION REQUESTED/PURPOSE:**

Authorize Board Chairman to sign the Substance Abuse and Mental Health Services Administration (SAMHSA) Child Mental Health Initiative grant application and certifications; Exempt Human Services from the County hiring and contracting procedures related solely to this grant if awarded; Authorize Board Chairman to sign Memorandums of Understanding and Agreement with partnering public and non-profit entities for the development of the Child Mental Health Initiative which will be known as the Lee Integrated Network for Kids (LINK).

**2. WHAT ACTION ACCOMPLISHES:**

Authorizes the Department of Human Services to submit the application in accordance with SAMHSA guidelines which require executed Memorandums of Understanding with partnering organizations for the application and requests key staff and contacted services be identified in the application document.

**3. MANAGEMENT RECOMMENDATION:** Authorize Human Services to apply for the SAMHSA grant.

**4. Departmental Category:** 05

*C5A*

**5. Meeting Date:** 05-10-2005

**6. Agenda:**

- Consent
- Administrative
- Appeals
- Public
- Walk-On

**7. Requirement/Purpose: (specify)**

- Statute
- Ordinance
- Admin. Code
- Other

**8. Request Initiated:**

Commissioner: N/A  
 Department: Human Services  
 Division: N/A  
 By: Karen B. Hawes *[Signature]*

**9. Background:**

The Department of Human Services is requesting \$1,000,000 in federal funds from SAMHSA (Substance Abuse Mental Health Service Administration) for year 1 for the development of an integrated system of care for children with severe emotional disturbance and their families. This application is a collaborative effort between the Department of Human Services, Department of Children and Families, Southwest Florida Addiction Services, Ruth Cooper Center for Behavioral Health, Central Florida Behavioral Health Network, Children's Alliance, and the Children's Home Society. If awarded, the county will be required to provide a matching of \$1 for every \$3 in federal funds. Matching funds will come from existing County dollars budgeted for mental health services and in-kind services. Matching from partnering organizations is also being identified and will be committed in the Memorandums of Understanding and Agreement. If awarded, this grant may continue for up to 6 years totaling up to \$9,500,000 in federal funds for child mental health initiatives. Matching funds remain 1:3 until year 4 when the match is 1:1. Matching funds for years 5 and 6 are \$2 local dollars for every \$1 federal dollar. During the initial planning phase of this grant (years 1-3), funds for matching and sustainability will be identified.

Attachments: Grants Application Data Form  
 Project Abstract  
 Application for Federal Assistance – Standard Form 424

**10. Review for Scheduling:**

Department Director	Purchasing or Contracts	Human Resources	Other	County Attorney	Budget Services				County Manager/P.W. Director
					Analyst	Risk	Grants	Mgr.	
<i>[Signature]</i>	<i>[Signature]</i>	N/A	N/A	<i>[Signature]</i>	RK 4/25	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>	4/29/05

**11. Commission Action:**

- Approved
- Deferred
- Denied
- Other

Rec. by CoAtty  
 Date: 4/22/05  
 Time: 2:00  
 Forwarded to: Adms 4/22/05

RECEIVED BY  
 COUNTY ADMIN: *[Signature]*  
 4/29/05 MP.  
 8:00  
 COUNTY ADMIN  
 FORWARDED TO:

**Lee County, Florida**  
**Lee Integrated Network for Kids (LINK)**

**PROJECT ABSTRACT**

The Lee County Integrated Network for Kids (LINK) is best described as a collaboration of local, state and federal public and private non-profit agencies joining together to plan, develop and implement an integrated system of care for children and young adults with severe emotional disturbance (SED) and their families in Lee County, Florida. The overall goal is to provide a comprehensive system of care where services will be community-based, family centered, client driven and culturally competent. The system of care will be integrated across various mental health and non-mental health service delivery and funding systems in order to provide a flexible, seamless array of services that are needed to meet the continuing needs of children and young adults with SED and their families.

This proposal addresses child mental health needs that have been identified over a period of four years through a regional task force formed to identify the gaps in services and develop recommendations for service providers and policy makers. System redesign based on integration and cultural competence, wraparound services and a centralized point of access for case management are the primary recommendations covered in this proposal.

The timing of developing an integrated network of services for children with SED and their families in Lee County could not be better. In 2003, the State of Florida Department of Children and Families began implementing a managed system of care for mental health and substance abuse services in Lee and the surrounding four counties. A provider network for mental health and substance abuse services has been established to facilitate these changes. The LINK project will formalize a model of service integration for child mental health that can be replicated throughout the country as more communities adopt the administrative service organization approach to managing the funding and delivery of mental health and substance abuse services.

Over the six-year period, Lee County will enter into formal agreements with key local and state stakeholders, policy makers and service providers to develop a strategic plan and implement the Lee County Integrated Network for Kids (LINK). Specific initiatives include the establishment of a virtual single point of access, a formalized infrastructure of wraparound services including mental health, non-mental health and natural support services that addresses all age groups within the target population, a parent/family-run council that will assist and ensure the involvement of families in the planning, development and implementation of LINK and an intensive training component to enhance the cultural competency of the delivery of services. The local evaluation will determine family satisfaction, achievement of personal outcomes and be designed to guide the project's future direction and assess the success of the identified issues.

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

PUBLIC HEALTH SERVICE

# GRANT APPLICATION

For use by:

- State and Local Government Applicants
- Nongovernmental Applicants for Health Services Projects



FORM PHS-5161-1  
(Revised 7/00)

# APPLICATION FOR FEDERAL ASSISTANCE

**1. TYPE OF SUBMISSION:**

- |  |   |
|--|---|
| Application  | Preapplication                            |
| <input type="checkbox"/> Construction                | <input type="checkbox"/> Construction     |
| <input checked="" type="checkbox"/> Non-Construction | <input type="checkbox"/> Non-Construction |

<b>2. DATE SUBMITTED</b>	Applicant Identifier
<b>3. DATE RECEIVED BY STATE</b>	State Application Identifier
<b>4. DATE RECEIVED BY FEDERAL AGENCY</b>	Federal Identifier

<b>5. APPLICANT INFORMATION</b>	
Legal Name: Lee County Board of County Commissioners	Organizational Unit: Department: Department of Human Services
Organizational DUNS: 01-346-1611	Division:
<b>Address:</b>	
Street: 2440 Thompson Street	Name and telephone number of the person to be contacted on matters involving this application (give area code)
City: Fort Myers	Prefix: Ms.      First Name: Karen
County: Lee	Middle Name: B.
State: Florida	ZIP: 33901      Last Name: Hawes
Country: USA	Suffix:
<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b> 59 - 6000702	
Phone Number (give area code): 239-533-7930      FAX Number (give area code): 239-533-7960	
<b>8. TYPE OF APPLICATION:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es): (See back of form for description of letters) <input type="checkbox"/> <input type="checkbox"/> Other (specify):	<b>7. TYPE OF APPLICANT:</b> (See back of form for Application Types): B. County Other (Specify):
<b>9. NAME OF FEDERAL AGENCY:</b> SAMHSA Center for Mental Health Services	
<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b> 93 - 104 TITLE: (Name of Program): <u>Child Mental Health Initiative</u>	<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b> Lee County integrated network for kids (LINK)
<b>12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.):</b> Lee County, Florida	
<b>13. PROPOSED PROJECT:</b>	
Start Date 1/1/06	Ending Date 12/31/12
<b>14. CONGRESSIONAL DISTRICTS OF:</b>	
a. Applicant 14	b. Project 14
<b>15. ESTIMATED FUNDING:</b>	
a. Federal	\$ 1,000,000.00
b. Applicant	\$ 333,333.00
c. State	\$
d. Local	\$
e. Other	\$
f. Program Income	\$
g. TOTAL	\$ 1,333,333
<b>18. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>	
a. <input checked="" type="checkbox"/> YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE <u>5/15/05</u>	
b. <input type="checkbox"/> NO. PROGRAM IS NOT COVERED BY E.O. 12372 OR PROGRAM HAS NOT BEEN SELECTED STATE FOR REVIEW	
<b>17. IS APPLICATION DELINQUENT ON ANY FEDERAL DEBT?</b>	
<input type="checkbox"/> YES If "Yes," attach an explanation. <input checked="" type="checkbox"/> No	
<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>	
<b>a. Authorized Representative</b>	
Prefix Commissioner	First Name Douglas
Middle Name R.	
Last Name St. Cerny	
Suffix	
b. Title Chairman, Lee County Board of County Commissioners	
c. Telephone Number (give area code) 239-335-2222	
d. Signature of Authorized Representative	
e. Date Signed	

### BUDGET INFORMATION - Non- Construction Programs

#### SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Child Mental Health Initiative	93.104	\$	\$	\$ 1,000,000.00	\$ 333,333.00	\$ 1,333,333.00
2.		\$	\$	\$	\$	\$ 0.00
3.		\$	\$	\$	\$	\$ 0.00
4.		\$	\$	\$	\$	\$ 0.00
5. TOTALS		\$ 0.00	\$ 0.00	\$ 1,000,000.00	\$ 333,333.00	\$ 1,333,333.00

#### SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	\$ 195,350.00	\$	\$	\$	\$ 195,350.00
b. Fringe Benefits	\$ 69,482.00	\$	\$	\$	\$ 69,482.00
c. Travel	\$ 47,676.00	\$	\$	\$	\$ 47,676.00
d. Equipment	\$ 24,428.00	\$	\$	\$	\$ 24,428.00
e. Supplies	\$ 2,400.00	\$	\$	\$	\$ 2,400.00
f. Contractual	\$ 810,199.00	\$	\$	\$	\$ 810,199.00
g. Construction	\$ 0.00	\$	\$	\$	\$ 0.00
h. Other	\$ 165,240.00	\$	\$	\$	\$ 165,240.00
i. Total Direct Charges (sum of 6a -6h)	\$ 1,314,775.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 1,314,775.00
j. Indirect Charges	\$ 18,558.00	\$	\$	\$	\$ 18,558.00
k. TOTALS (sum of 6i and 6j)	\$ 1,333,333.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 1,333,333.00
7. Program Income	\$ 0	\$	\$	\$	\$ 0.00

**SECTION C - NON- FEDERAL RESOURCES**

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Child Mental Health Initiative	\$ 169,964.00	\$ 35,000.00	\$ 128,369.00	\$ 333,333.00
9.	\$	\$	\$	\$ 0.00
10.	\$	\$	\$	\$ 0.00
11.	\$	\$	\$	\$ 0.00
12. TOTALS (sum of lines 8 and 11)	\$ 169,964.00	\$ 35,000.00	\$ 128,369.00	\$ 333,333.00

**SECTION D - FORECASTED CASH NEEDS**

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 1,000,000.00	\$ 250,000.00	\$ 250,000.00	\$ 250,000.00	\$ 250,000.00
14. Non- Federal	\$ 333,333.00	\$ 83,333.25	\$ 83,333.25	\$ 83,333.25	\$ 83,333.25
15. TOTAL (sum of lines 13 and 14)	\$ 1,333,333.00	\$ 333,333.25	\$ 333,333.25	\$ 333,333.25	\$ 333,333.25

**SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT**

(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. Child Mental Health Initiative	\$ 1,500,000.00	\$ 2,000,000.00	\$ 2,000,000.00	\$ 1,500,000.00
17.	\$	\$	\$	\$
18.	\$	\$	\$	\$
19.	\$	\$	\$	\$
20. TOTALS (sum of lines 16 -19)	\$ 1,500,000.00	\$ 2,000,000.00	\$ 2,000,000.00	\$ 1,500,000.00

**SECTION F - OTHER BUDGET INFORMATION**

21. Direct Charges:	22. Indirect Charges:
23. Remarks	

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.  
SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**Note:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685- 1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age;
- (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non- discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE Chairman	
APPLICANT ORGANIZATION Lee County Board Of County Commissioners		DATE SUBMITTED 5/15/05



## CERTIFICATIONS

### 1. CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;
- (b) have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion--Lower Tier Covered Transactions" in all lower tier covered transactions (i.e., transactions with sub-grantees and/or contractors) and in all solicitations for lower tier covered transactions in accordance with 45 CFR Part 76.

### 2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free workplace in accordance with 45 CFR Part 76 by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- (d) Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
  - (1) Abide by the terms of the statement; and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central

point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted--
- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

For purposes of paragraph (e) regarding agency notification of criminal drug convictions, the DHHS has designated the following central point for receipt of such notices:

Office of Grants and Acquisition Management  
Office of Grants Management  
Office of the Assistant Secretary for Management and Budget  
Department of Health and Human Services  
200 Independence Avenue, S.W., Room 517-D  
Washington, D.C. 20201

### 3. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the under-

signed, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- (2) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### 4. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

**5. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE**

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE Chairman	
APPLICANT ORGANIZATION Lee County Board of County Commissioners		DATE SUBMITTED 5/15/05

## DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB  
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b>  <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> <b>b</b> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	<b>2. Status of Federal Action</b>  <input type="checkbox"/> a. bid/offer/application <input checked="" type="checkbox"/> <b>b</b> b. initial award c. post-award	<b>3. Report Type:</b>  <input checked="" type="checkbox"/> <b>a</b> a. initial filing b. material change  <b>For Material Change Only:</b> Year _____ Quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b>  <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee  Tier _____, if known: _____  Congressional District, if known: _____	<b>5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:</b> Lee County Board of County Commissioners Department of Human Services 2440 Thompson Street Fort Myers, FL 33901  Congressional District, if known: <u>14</u>	
<b>6. Federal Department/Agency:</b> Substance Abuse and Mental Health Services Administration	<b>7. Federal Program Name/Description:</b> Child Mental Health Initiative  CFDA Number, if applicable: <u>93.104</u>	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10.a. Name and Address of Lobbying Entity</b> <i>(if individual, last name, first name, MI):</i>	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a.) (last name, first name, MI):</i>	
<b>11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b>	Signature: _____  Print Name: <u>Douglas R. St. Cerny</u>  Title: <u>Chairman</u>  Telephone No.: <u>239-335-2222</u> Date: _____	
<b>Federal Use Only:</b>	Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)	